



# St Helena Government

## PERFORMANCE REPORT

**FOR PERIOD 3 - JUNE 2018**  
**FINANCIAL YEAR ENDING 31 MARCH 2019**

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## Overview of Performance for JUNE 2018

### Introduction

On 26 June 2018, the St Helena Investment Policy was approved by ExCo, which is an updated version of the 2011 policy to align with the current Sustainable Economic Development Plan (SEDP) 2018-28.

St Helena Government's (SHG's) Code of Practice for Public Accounts to SHG information, launched in September 2014, continues to work well. This system offers a central point of enquiring for the public to request information from SHG that is not already available by other means.

On 20 June 2018, SHG signed a financing agreement for the territorial allocation of 11th EDF, as a result €21.5 million was released to St Helena to support the delivery of the SHG Digital Strategy.

For this report information has not been provided for all areas.

### Summary of Key Performance Indicators

Some of the headlines for June were as follows:

1. Fire Service average response time for June was 10 minutes.
2. Crime reports total for the month of June decreased to a total of 10.
3. 43% of teachers qualified to Level 4+
4. 57% of eligible clients engaged in Day Care.
5. 78% of registered diabetes clients have had their HbA1c tested and 53% with 'Good Control'.
6. Number of stay over tourists for June were 138, a 4% increase from the previous financial year  
Number of plane passengers for June were 274.
7. 6,246 Public Transport tickets were sold for first quarter, April - June 2018.

### Corporate Risk Management

The overall rag status of the Corporate Risk Register covering period April to June 2018 (Quarter 1) remains the same apart from two changes made to Risk 22 and 23, which accelerated from a low/High to High/High.

As for significant developments, progress was made in the following areas:

**Risk 1: Insufficient resources to deliver public services and key capital infrastructure**

External Funding Coordinator appointed, familiarisation visit planned for August 2018.

**Risk 3: Risk of litigation linked to historical failings**

HMG have been made aware of the possible contingent liabilities, the SHG budget 2018/19 has a ring-fenced budget for litigation and associated legal costs.

**Risk 4: Failure to reach short term revenue growth prediction through low visitor numbers**

Announcement of the 2nd flight over the peak season. Developing a competitive inclusive package over the low seasons.

**Risk 6: Failure of a Change Management Programme – impact on SHG and Public**

Continuous Improvement Programme adopted, change managers in place and meeting regularly. New Director of HR and Organisational Development being recruited.

**Risk 7: Skills Shortage within existing workforce**

SHG training plans, careers guidance and succession planning, and Future Leaders Programme all in place. Community College in place with a wide range of learning opportunities on offer.

**Risk 8: A National Major Incident, airport, disease, localised emergencies/situations**

A major incident exercise is planned to take place with the airport in October 2018.

**Risk 9: Not securing a high speed internet connectivity**

Financing agreement for €21.5 million has been signed off by the European Union for St Helena, €17.0 million to support the delivery of the SHG Digital Strategy through the realisation of the submarine cable to enable faster and more reliable internet connectivity on the Island. Milestones are on progress to be met. Financial close of the SAEx project is expected soon, thereafter survey work is to commence.

**Risk 10: Morbidity and mortality due to obesity and lifestyle**

Chronic Disease Management Service initiated. Health Promotion and Social Marketing Programme commenced tackling obesity and high smoking prevalence. Specialist medical services on the island and overseas referral strengthened.

**Risk 14: Failure to underpin basic education**

Assessments to be completed by end of July 2018. Results for the 2017/18 school year will be available by the end of August 2018. School improvement plans have been implemented over the 2017/18 and new plans will be developed for 2018/19. Teacher training programme continues with new cohort to begin in September 2018.

**Risk 15: Terrorism**

Two firearms courses have been completed. Staff trained in several tactics. This will be developed further in 2018.

**Risk 18: Lack of Human Capital and appropriate skills to develop the economy and deliver key services**

Continue to attract working age people on to the Island

**Risk 20: Lack of financial management capacity and capability across SHG**

Corporate Finance Restructure underway to increase the financial management resources across SHG. TC officers to be used to fill short term capacity gaps - 2 new management accountants starting on 1 September 2018.

New Cadre being developed for finance professionals

**Risk 21: The financial position of SHG and the level of funding available within the General Reserve.**

A new Management Accountant is in post and two additional accountants have been recruited to increase capacity in performance management and will start on 1 September 2018.

The minimum level on the general reserve to be increased to £3million, currently projected to be £2.4m at 31 March 2018.

**Risk 22: The deteriorating financial position of Basil Read Ltd and the impacts on the completion of phase 1 of the airport DBO contract.**

Notice received on 15 June 2018 that Basil Read had entered Business Rescue.

SHG, DFID and PMU are currently working closely with Basil Read and the Business Rescue Practitioners to ensure that immediate concerns regarding Phase 1 (Construction Phase) of the Airport project are addressed.

**Risk 23: The deteriorating financial position of Basil Read Ltd and the impacts on the ability to keep the airport operational**

Notice received on 15 June 2018 that Basil Read had entered Business Rescue.

Basil Read Business Rescue Contingency Planning Group currently putting in place contingencies to ensure that there is no disruption to airport operations in the case that Basil Read goes into liquidation.

Overall Rag Status		Monthly Progress	↔	Leading Indicator	↔
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**Summary of Financial Performance**

Please see 1.0 Overview sheet for summary

Overall Rag Status		Monthly Progress	↔	Leading Indicator	↔
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**Key Revenue and Expenditure Variances**

Please see 1.0 Overview sheet for summary

Overall Rag Status		Monthly Progress	↔	Leading Indicator	↔
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**Capital Programme**

No reporting at this point as currently there is no programme.



**St Helena  
Government**

## Overall rag status summary

	April/May			June		
	Overall Progress	Monthly change 2018/19	Leading Indicator	Overall Progress	Monthly change 2018/19	Leading Indicator 2018/19
	<b>4</b>	1	2	<b>4</b>	1	2
	<b>1</b>	0	0	<b>1</b>	0	0
	<b>26</b>	30	19	<b>29</b>	33	22
	<b>11</b>	10	20	<b>12</b>	12	21
 UNKNOWN (due to lack of/unavailability of data)	<b>6</b>	7	7	<b>2</b>	2	3

The above table summarises the data in the report. There are 48 areas outlined in the report and both backward and forward looking RAG ratings have been provided.

For this report information has not been provided for all areas .

**8%** of areas were given a Red rating

**2%** of areas were given an Amber Red rating

**60%** of areas were given an Amber rating

**25%** of areas were given a Green rating

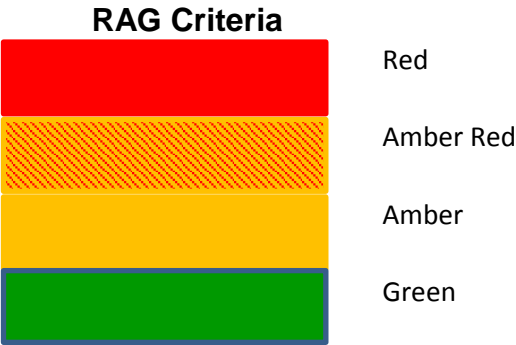
**4%** of areas were given an unknown rating

\*Figures may not total 100% due to rounding




**METHODOLOGY**

For the Performance Report information is provided in four columns.

- The first (**“Overall Performance Progress”**) is an indicator of progress over the past month relative to expectations at the beginning of the year.
- The second (**“Monthly Change”**) highlights whether this progress is an improvement, or otherwise, from the previous month.
- The third (**“Leading Indicator”**) aims to give a snapshot of how progress is likely going forward and provide a early warning system for potential issues.
- The fifth (**“Commentary”**) aims to provide a succinct overview of each area.



**Key to Leading indicator arrows:**

-  Performance improving
-  Performance maintaining
-  Performance worsening



## SHG KEY PERFORMANCE INDICATOR REPORT

### PERIOD 3 (June 2018)

No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events	
1	Altogether Safer	Safeguarding (Frankie)	(A) % of referrals received for children's services resulting in 1) assessment 2) strategy meeting 3) section 57 4) no further action  (B)% of referrals completed in agreed timescales (quarterly reporting); Looked After Child (LAC) reviews completed in agreed timescales;  (C) % of children open to Childrens Services who have an upodate care plan 1) Child in Need (3 monthly) 2) Child Protection Plan (3 monthly) 3) Looked After Child ( 6 monthly);  (D) Number of children open with a disability; 1) number of cases where direct work is being completed; 2) number of cases with MAPPA involvement	309 referrals received 23% required single assessments  Approx. 90% completed within timescale	A. 1) 35% 2) 20% 3) 10% 4) 35%  B. 95%  C. 100% (1) 100% (2) 100% (3) 100%  D. 90%			JUNE (A) No of referrals 13 1) No. resulting in assessments 3 (23%) 2) No. resulting in strategy discussions 2 (15%) 3) No. resulting in 257 enquiries 2 (15%) 4) No. resulting in NFA 2 (15%)  (B) Assessments completed within timescale – approx. 95% of assessments due in June were completed within timescale.  (C) Up to date plans – 1), 2) and 3) = all 100%  Case Breakdown June No. of CIN cases 40 (12 of which are open only to Therapeutic practitioner) No. of CP Cases 7 No. of LAC cases 3 (D) No. of children open with a disability 6 No. of cases where direct work is currently being undertaken 13 (this does not include work carried out by the Therapeutic Practitioner) No. of cases with MAPPA involvement 6						
2		Safeguarding (Victoria)	Joint visits are conducted with other agencies (Health, OT, MH, Physio etc.)	2016 was 47 OT visits and 2 MH. Currently all joint visits OT, Police, Specialists etc. and MDTs are captured – 2017/18 YTD is 123. All joint visits to be recorded.	60				18 joint visits conducted (Year to date total: 31)					
3		Police	Reduce Overall Crime	The figure will not be set until the end of the current PPY as the figure for the current year plays a part in setting the figure.  In any case, the figure will be divided by 12 and monitored on a monthly basis.	Less than five year average  The target is 240 crimes a year which is an average of 20 crimes per month.	Less than five year average	Less than five year average	June; 10 crimes						
4a		Police	Improving community trust and confidence in the services provided by the Directorate		80% satisfaction level from those surveyed.	80% satisfaction level from those surveyed.	85% satisfaction level from those surveyed.	June : 5 call backs 80% satisfaction (1 caller left island) 9 surgeries completed. 64 community engagement hours						
4b		Police	Working with partners, volunteers and stakeholders to maintain public safety and our responses to incidents		100 Road Traffic Collisions (RTCs) or less	100 RTCs or less	100 RTCs or less	June = 10% reduction in RTCs 8 in total						
5		Police	The St Helena Fire and Rescue Service will provide an immediate response to all related emergencies	Monitored on a monthly basis based upon the number of calls attended.	Attendance within 12 mins for the first appliance	Attendance within 12 mins for the first appliance	Attendance within 12 mins for the first appliance	13 reports received with an average 11 minutes response time.						
6		Safeguarding (Wendy)	Number of older persons (over 65) who are admitted to residential/sheltered accommodation - Reduce the number of admissions compared to the previous year	13 admissions in residential/sheltered accommodations for 2016/17 (3 in sheltered and 10 in residential)	15 admissions			0 Admissions to residential Admission into Sheltered Accomodation 1						
7		Safeguarding (Victoria)	% of eligible clients engaging in Day Care or Overnight Respite on at least three occasions per calendar month.	Monitoring of respite/day care provision would allow us to monitor this against our home support figures – ie should home support reduce as respite/day care increases?	65%			57% engaging in day care overnight respite available at this time No						
8		Safeguarding (Victoria)	% of those receiving Home Support/Home care who have had a review within the specified timeframe.	Adults and Older adults 81.5%	Record on a quarterly/yearly basis (financial year) Target = 90%			15 reviews completed in this quarter (will only be able to report a yearly percentage given that reviews can be done in 12 m period)				Quarterly		
9		Safeguarding (Victoria)	All adult social care assessments to be completed within the specified timeframe.	82 assessments completed	50 assessments			17 assessments completed all in specified timeframe						
10		Health	Vaccination Coverage Children at 2 years of age, up to date with vaccinations. Measured as a) % of 2 year olds immunised against those due on census data.(Aim = >90%) b) % of 2 year olds immunised against those due from birth rate.(Aim = >100%)	2017/18 data a) 85% of 2 year olds (against Census data) b) 102% of 2 year olds (against birth rate)	a) ≥ 90% b) ≥ 90%	a) ≥ 90% b) ≥ 90%		YTD a) 35% b) 45%						
11	Health	Diabetes a) % of registered diabetes clients who have had their HbA1c tested at least once during the preceding year.(Aim = >85%) b) % of registered diabetics with "Good Control". (Aim = >50%)	a) 75% (2015 data) 79% (2017/2018) b) 34% (June 2015 Data) 46% (2017/2018)	a) ≥90% b) ≥60%	a) ≥90% b) ≥60%		a) 78% b) 53%							

No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events
12	Altogether Healthier	Health	<b>Obesity: Early detection and prevention or reduction of obesity amongst resident population of St Helena</b>  (a) % of Children who are overweight or obese when measured on an annual basis in school.  (b) % of adults with a BMI >25 out of all patients seen.  (c) % of adults with a BMI >25 out of all patients who had BMI check	<b>Accurate baseline to be established for</b> <b>(a) 53% of school children overweight or obese</b> <b>Overweight = 34%; Obese = 19%</b> <b>N=372 school children weighed March 2017 Boys=191 Girls=181</b>  <b>• Boys (51%): overweight = 33%; obese = 18%</b> <b>• Girl (54%)s: overweight = 34%; obese =20 %</b>  <b>(b) 23% (222 with excess weight out of 978 patients seen)</b>  <b>(c) 74% of all BMI's checked have BMI &gt;25 (222 out of 298)</b>	a) 5% reduction in recorded percentage of overweight children in 2018  b) 5% reduction in % of adults with BMI >25  c) ≤70% of recorded BMI among adults is >25	a) 5% reduction in recorded percentage of overweight children in 2019  b) 5% reduction in % of adults with BMI >25  c) ≤70% of recorded BMI among adults is >25	(a) 31% of school children overweight or obese. (137 out of 449) Overweight = 11%; Obese = 19% N=449 school children weighed. Boys = 226 Girls = 223  • Boys TOTAL 31%; overweight = 12%, obese = 19% • Girls TOTAL 30%; overweight = 10%, obese = 20%  b) 21% (542 out of 2538) c) 77% (542 out of 700)		↔	↔			
13		Health	<b>Obesity - Structured Interventions</b> <b>% of children and adults identified during health assessment as being overweight who receive support through a structured intervention.</b>  <b>Children</b> a) % of children identified with excess body weight (149) receiving brief intervention  b) % of children identified with excess body weight referred for specialist advice that received specialist advice  <b>Adults</b> a)% of adults identified with excess body weight receiving brief intervention  b) % of adults identified with excess body weight receiving specialist dietetic advice	<b>Children</b> <b>a) Baseline in July 2017: 0</b> <b>b) Baseline in July 2017: 2 (100%)</b>  <b>Adults</b> <b>a) Baseline in July 2017: 1</b> <b>b) Baseline in July 2017: 3 (100%)</b>	a) ≥60% of children with excess body weight receive brief intervention  b) ≥60% of children with excess body weight receive brief intervention	a) ≥70% of children with excess body weight receive brief intervention  b) ≥70% of children with excess body weight receive brief intervention	a) 21% (542 out of 2538) b) 77% (542 out of 700)		↔	↔			
14		Health	<b>Safe provision of an appropriate range of Mental Health services on island</b> a) Waiting times for mental health first follow-up appointments once deemed clinically necessary b) Waiting time for first follow-up clinical psychology appintment once deemed clinically necessary c) Caseload per qualified mental health practitioner d) % of cases per practitioner with care coordination rating of 4 & 5	<b>Baseline to be established March 2018</b>	a) ≤3 days b) ≤7 days c)≤40/practitioner d) ≤25%	a) ≤3 days b) ≤7 days c)≤40/practitioner d) ≤25%	Awaiting data confirmation Current data provided does not correspond with KPIs provided						
15		Health	<b>Access to Healthcare</b> 1. General Hospital (Secondary Health Care) a) No of general admissions to hospital (YTD) b) Number of surgical admissions(YTD)  <b>2. Total number of different patients per month that accessed Primary Health Care to see</b> a) Doctor b) Nurse c) Overall  <b>3. Total number of different patients per month that accessed Primary Health Care at</b> d) Half Tree Hollow e) Longwood f) Levelwood <b>D=Doctor</b>  <b>4. Total number of occasions per month that patients with a registered disability were seen by a Doctor</b>  <b>5. Total number of home support visits for palliative / end-of-life care</b>	<b>1(a) = 2000</b> <b>1(b) = 30</b>  <b>2 (a)</b> <b>(b)</b> <b>(c)</b>  <b>3 (d)</b> <b>(e)</b> <b>(f)</b>  <b>4. Awaiting info from Safeguarding to determine stats.</b>  <b>5. 20 (Nov 2016)</b>	Target to maintain services at baseline level of 4 consultations/perso n/year	Target to maintain services at baseline level of 4 consultations/perso n/year	1 a) 70 b) 115  2 a) 1856 b) 1793 c) 2538  3 d) D = 185 e) D = 91 f) D = 28  4. Figures soon to be collated. (will be ready in July)  5. 43		↑	↔			
16		Health	<b>Encourage Smoking Cessation</b> a) % of all patients who have had their smoking status screened b) % of screened smokers seen in clinics receiving brief intervention c) % of screened smokers who set firm quit date d) % of screened smokers seen in clinics receiving specilaist advice e) % of treated smokerswho remained quitters at 13 weeks verified by CO monitoring f) % of treated smokers who remained quitters at 4 weeks monitored by CO g) % of treated smokers that are self-reported 4-week quitters h) Number of treated smokers [a treated smoker is a smoker who undergoes at least one treatment session on or prior to the quit date and sets a firm quit date. Smokers who attend an assessment session but fail to attend thereafter would not be counted. Neither are smokers who have already stopped smoking at the time they first come to the attention of the services] i) 4-week quit success rate [Number of 4-week quitters verified by CO/Number of treated smokers] j) 13-week quit success rate (Number of 13-week quitters verified by CO/Number of Treated Smokers}	<b>a) 37%</b> <b>b) 1%</b> <b>c) unknown</b> <b>d) 5%</b> <b>e) unknown</b> <b>f) unknow</b> <b>g) unknown</b> <b>h) unknown</b> <b>i) unknown</b> <b>j) unknown</b>	a) 50% b) 20% c) 30% d) 30% e) 40% f) 40% g) 40% h) *** i) ≥ 40% j) ≥ 40%	TBD	a) 310 b) 42 c) 11 d) 0 e) 58% f) 1% g) awaiting data h) awaiting data i) awaiting data j) awaiting data		↓	↓			



No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events	
17		ENRD	Social Housing - Increase the social housing stock.	184 social houses (Jan 2017)	Require target			Awaiting update						
18	Altogether Greener	ENRD	Plants and Wildlife . Monitor the health of St Helena's marine and terrestrial habitats, to make sure they do not decline ie that we don't lose any of the endemic life there and we maintain the environment in which these endemic animals and plants live.	Benchmarking done for 21 terrestrial and 22 marine in 2015/16)	5% of high value native habitat managed 95% not under management monitored to assess rate of decline			Nursery work at Scotland and Peaks carried forward with Nursery open day moved onwards to 20 July; Nurseries for Nature project under way with massive delays in procurement of materials not resolved; Critical habitat ecological restoration work continued on 5% of target sites of high endemic diversity value with special focus on flax clearance on the central ridge ongoing		↔	↑			
19		ENRD	Environmental Protection - St Helena's environment is protected through the implementation of the Environmental Protection Ordinance (EPO) enabling the conservation of biodiversity, regulation of trade in endangered species and the control of pollution, hazardous substances, litter and waste.	10% of the required supporting policies, guidelines and procedures have been formally adopted and or are in place to facilitate the implementation of the EPO (Jan 2017)	Require target			Recruitment of second Environmental Assistant underway. General awareness of EPO continues through presentation to ENRC; provision of advice to researchers, project staff, ENRD staff and potential developers; input into pollution incident response and representation on various project working groups. 1 CITES Export Permit issued.		↔	↔			
20		ENRD	Waste Management		5% increase in domestic waste cells life			Whilst no capital investment has been forthcoming in recycling (business cases have been submitted), initiatives are being developed to reduce waste. But the effects of these initiatives will not be as great as investment in recycling. Glass recycling continues as per Partnership Agreement with Private Sector partner. OCTA Anaerobic Digestion Project proceeding as per stakeholder agreed changes. Plastics Recycling Project external funding through DEFRA (UK) applied for. Landscape bulky waste project for Jamestown being delivered during June/July.		↔	↑			
21		ENRD/Connect	Energy Use	More efficient use of energy per head of population							↔	↑		The Power Purchase Agreement for increasing renewable generation is still being progressed.
22		ENRD	Increase Land available for Housing through the development of the CDA's and individual site identification.		Release 60 plots by the end of 2018.			No further progress			↔	↓		Work continues with all stakeholders to achieve this challenging target.
23	Altogether Better for Children and Young People	Education	Primary Education % of Year 6 pupils asessed as performing at or above Age Related Expectations  NOTE: New measure which reflects changes in assessment policy in the English National Curriculum	English 50% Maths 30% (July 2017)	English 60% Maths 60%	English 65% Maths 65%	English 65% Maths 65%	August 2017 RESULTS  English 50.0% Mathematics 30.0%		↔	↔	Reported on academic year	Schools began preparing for end of year assessments. Schools analysed the results of the PASS assessments and have begun planning for the upcoming school year.	
24		Education	Inclusion % of students on Special Education Needs (SEN) Register with active Individual Education Plan (IEP) (IEPs are the learning plans that spell out what steps the school will take to meet the needs of children with special education needs or disabilities. They document both the child's needs and the actions to address them and the targets that the child is expected to meet ) NOTE: Final assessment to be made at end of academic year	new measure	100%	100%	100%	100%		↑	↑		The SEND Policy for Education was completed and has been approved by the Education Committee. In addition to its importance for education, the SEND Policy approval represents a significant milestone for EDF funding for the submarine able.	
25		Education	Secondary Education % of pupils achieving 5 GCSE A*-C including English and Maths (or the equivalent grades on the new 9-1 GCSEs)	22% (Aug 2016)	45%	50%	50%	45%		↑	↑	Reported on academic year	External examinations (GCSE and A-levels) were a major focus for June. PAS has been able to fill all TC teaching posts and an additional maths post has been approved and filled for January 2019.	
26		Education	% of teachers qualified to Level 4+	33.3% (March 2017)	50% of teachers qualified to Level 4+	70% of teachers qualified to Level 4+; 40% of teachers qualified to Level 5+	75% of teachers qualified to Level 4+; 50% of teachers qualified to Level 5+	43%		↔	↑		School leaders continued work on an accredited Educational Leadership course and teaching staff have been completing submissions for the accredited Teaching and Learning qualification.	
27		Education	St Helena Community College provides a range of general, technical/vocational, professional and higher education programmes to meet the needs of the local economy.  NOTE: Because the enrolment cycle of the SHCC operates on the academic year, this data should be reported and KPI assessed on the basis of the academic year ending in the financial year. Thereafter, regular updates in the narrative can provide details on progress toward the upcoming year's performance.	a) SHCC Courses offered: 159 courses b) General/Community 27  General/Community(accredited ) 39 c) Higher Education 36 d) Professional 10 e) Technical/Vocational 47  f) 273 student/course registrations from 1/9/2016 - 20/2/2016. g) 363 students enrolled in SHCC programmes to date.	Annual Training Needs Assessment completed.  100 courses offered ( with information on accreditation status and level) 400 course registrations	Annual Training Needs Assessment completed.  100 courses offered ( with information on accreditation status and level) 450 course registrations	Annual Training Needs Assessment completed.  100 courses offered ( with information on accreditation status and level) 500 course registrations	*2017 Training Needs Assessment data being used to inform planning.  159 courses offered  118 courses with active enrolment during year Community Education: 59 courses, 14 accredited Higher Education: 14 courses; 14 accredited Professional Studies: 8 courses; 8 accredited Technical/Vocational: 22 courses; 20 accredited 612 students registered (Academic Yr ending 8/17) 821 course registrations (Academic Yr ending 8/17)  102 registrations in accredited L1 - L2 core skills courses (English, maths, ICT)*		↑	↑		SHCC enrolments are reported annually, so the data provided reflects the total enrolment at the end of the 2016/17 academic year.  A new structure for apprenticeships on St Helena was presented to and endorsed by the Education Committee and will be introduced in September 2018. SHCC has completed a training plan which supports the St Helena Connected initiative and will allow SHG to meet key milestone for the EDF funding. Work was underway to promote expanded SHCC learning opportunities for the 2018/19 academic year.	
28	Corporate Services (Corporate Support - Carol)	Safeguarding	Number of people who we are supporting on our employability scheme	Benchmark Year 1 (2017/18 = 21)	21			26 people are supported on our employability scheme		↑	↑			
29		Corporate Services	% of Report It Sort It reports acknowledged and allocated for action within 3 working days of receipt	95%	100%	100%	100%	100%		↑	↑	Monthly		
30		Corporate Services	Number of people making use of the public transport service	18070 tickets sold (2013/14)	25,523 (2017/18) Further 10% increase (2,552) Target = 28,075	Further 10% increase	Further 10% increase	6246 tickets were sold for Apr-Jun 2018.		↑	↑	Quarterly		
31		Corporate Services	Revised timetables for the Public Transport Service to make provision for Park and Ride schemes into Jamestown.	No Park and Ride Scheme exists at the moment.	Commence March 2019			No further progress since last reporting period.		↔	↔			

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32	Altogether Wealthier	Corporate Services (Corporate Support - Linda B)	% of requests for information dealt with in accordance with the Code of Practice for Access to SHG	Baseline to reflect 2016/17 Performance of 40%	90%	95%	95%	100%		↑	↑		
33		Corporate Services (Statistics Office)	Number of stay over tourist visitors to the island	2,527 (2012/13) 2,054 (2013/14)	1,959 (2017/18) 10% increase (195) Target - 2,154	10% increase on 2018/19	10% increase on 2019/20	June 2018 • Number of stay-over visitors: 138 2018/19 YTD: 451, a 4 per cent increase from the previous financial year • Number of plane passengers: 274 2018/19 YTD: 837		↑	↑		
34		Connect St Helena (Barry)	Sustainability % of energy generation from renewables	9.13% (2012/13)	40%	80%	80%	April = 21.53% May = 26.47% June = 20.13%		↑	↑		Renewable contribution will increase after the PPA is signed and additional generation capacity is commissioned. The first phase being expansion of the solar farm which is currently awaiting ExCo approval. Electricity outages continue to remain favourable to target with continued focus on the management of vegetation in the proximity of our electricity lines.
35		Connect St Helena (Barry)	Reliability Unplanned electricity interruptions per annum	146 (2012/13) 134 (2013/14)	95	90	85	April = 6 May = 3 June = 10		↔	↔		
36		Air Access (Janet/Sharon)	Regularly scheduled flights to St Helena	Airport open and operational but in 2016/17 catered only to charter and medevac flights	Minimum of a weekly scheduled flight to St Helena	Minimum of a weekly scheduled flight to St Helena plus ability to schedule additional flights as required	Minimum of a weekly scheduled flight to St Helena plus ability to schedule additional flights as required	The total number of flights for Period 3 (June 2018) is summarised below: Type                      Arrival                      Departure Scheduled                      6                      6 Ferry Flights                      1                      1 Total                      7                      7		↑	↑		St Helena Government and SA Airlink announced that additional flights to St Helena will take place between December 2018 and April 2019. In addition to the regular scheduled services, a further 19 flights are being scheduled midweek during this peak period.
37		Air Access (Janet/Sharon)	St Helena Airport maintains airport certification	Original airport certificate granted by ASSI in May 2016  Airport recertified (6 month duration) in November 2016  ASSI audit inspection in March 2017	Successful completion of ASSI audit [date to be advised] and implementation of any rectification actions	Successful completion of ASSI audit [date to be advised] and implementation of any rectification actions		Ongoing		↔	↑		ASSI's approval for the transportation of Dangerous Goods by Air enabled the carriage of the First Fuel Samples (Dangerous Goods) from Saint Helena to Johannesburg on a Passenger aircraft.
38		Corporate Support (IT- Jerry)	IT Systems maintained % of Downtime for IT Systems not to exceed	85%	Increase by 5%	Increase by 5%	Increase by 5%			↔	↑		
39		Corporate Support (Carol)	Legislative Programme agreed and updated and circulated to elected Members.	The legislative programme is currently delivered on an 'ad hoc' basis	Programme presented to Council, updated and published on quarterly basis	Programme presented to Council, updated and published on quarterly basis	Programme presented to Council, updated and published on quarterly basis			↔	↔		The Legislative Programme will be discussed with Elected Members in August.
40		Corporate Support (Gilly/Kerisha)	Internal communication in SHG is improved and employees feel informed about what is happening	Benchmark – improve on the 40% positive score of the 2015 Employee Opinion Survey. To develop an employee engagement survey to be rolled out in 2018/19 to provide a baseline for this data as we move forward				No further update		↔	↔		The SHG Future Leaders have been working on a project to improve internal communications and have met with a selection of staff from various Directorates to ascertain how internal communication can be improved. The 2018 Employee Opinion Survey results indicate an improved rating for communication compared with the 2015 survey but more work needs to be done to improve.
41		Corporate Support (Gilly/Kerisha)	External audiences receive and understand messages from SHG	Benchmark - Develop an external survey for 2018/19 to provide a baseline for this data as we move forward.				No further update		↔	↔		
42		Corporate Finance (Nicholas)	Self-sufficiency % of budget from local revenue	33% (2013/14)				April - 23% May - 27% June - 30%		↑	↔		
43		ESH (Kirsty)	Increase in number of ESH-supported businesses registered with SHG Tax Office	680 March 2018 est.	6	6		April - 0 May - 2 (2 x Service) June - 0 Total = 2		↔	↑		
44		ESH (Kirsty)	Increase in number of ESH-supported businesses in all sectors receiving ESH Grants (micro/capital)	49/2	30/1	25/1		April - 5 micro/0 capital : 1 x Tourism / Hospitality - Accommodation 1 x Tourism - Eatery 1 x Agriculture - Livestock 2 x Service - Jewellery May - 5 micro/0 capital : 5 x Service sector June - 1 micro/0 capital : 1 x Service sector Total = 11 micro/0 capital		↔	↔		

↑

Performance Improving

↔

Performance Maintaining

⛔

Performance Data currently being collected

↓

Performance Worsening

Green

On target

Amber

Maintaining

Red

Below target



CORPORATE RISK REGISTER - STRATEGIC				PRE-Mitigation (Inherent)"Risk				POST-Mitigation ("Residual") Risk										Target Risk								
ID	Risk Category	Risk Description(Including Impact)	Owner (individual/group)	Probability	Impact	Financial liability	RAG Status	Mitigation as at March 2018	Current mitigation as at June 2018	Financial Risk (Post Mitigation)	Current Probability	Current Impact	RAG Status	Proposed Mitigation	Actionee	Probability	Impact	RAG Status	Current (Qtr-1)	Previous Quarter						
1	Fin/Rep/Hum	Insufficient resources to deliver public services and key capital infrastructure	SHG (Dax)	H	VH	Greater than £5m		(i)External Funding Coordinator identified in the process of agreeing terms. Action Plan in place to improve financial management capacity and capability across the public service. Tax and Revenue Working Group (TRWG) considering options for revenue generation. (ii)Rockfall Protection Tender documents were issued to prequalifying tenderers in late June 2017 andTenders received by late July. Tenders have been assessed and Tender Report produced. Funding to carry out the work to be sourced to mitigate the risk. Still awaiting Capital Funding to progress. (iii)Resources have been increased and governance of the programme now in place. Funding beyond September 2017 remains a significant risk. Alternative funding through EDF and private equity to fund capital projects (cable - energy). (iv)Project team in place, designs progressing to sign off. The funding for this programme beyond September is a significant risk. No further update on funding as of Mar 2018. (v)Additional budget provision being identified from other healthbudget lines. Cost reduction options including bringing specialists to the island and undertaking procedures locally at cheaper cost being explored.	(i)External Funding Coordinator appointed, familiarisation visit planned for August 2018. Action Plan in place to improve financial management capacity and capability across the public service being implemented. Tax and Revenue Working Group (TRWG) considering options for revenue generation. (ii) No further change. Still awaiting Capital Funding to progress. (iii)Resources have been increased and governance of the programme now in place. Funding beyond September 2017 remains a significant risk. Alternative funding through EDF and private equity to fund capital projects (cable - energy). (iv)Project team in place, designs progressing to sign off. The funding for this programme beyond September is a significant risk. No further update on funding as of Jun 2018. (v)Additional budget provision being identified from other healthbudget lines. Cost reduction options including bringing specialists to the island and undertaking procedures locally at cheaper cost being explored.		H	VH		(i)Funding Officer put in place to secure alternative sources of funding. Improve financial management capacity and capability within Corporate Finance and across SHG. Continuous review of key revenue streams and social and economic impact. (ii)Rock guards in place to monitor, rock fall protection to be procured, funding is required and SHG capability to respond to rock fall incidents. (iii)Project Governance established. Key skill sets being recruited. New delivery arm to be deployed. Funding is required to deliver the infrastructure that is required now and for the future. (iv)Agreement for final designs, funding is required as well as Political and community support. (v)Use waiting list to manage pace of overseas referral where clinical outcome is not jeopardised.	SHG Dax Derek Akeem	M	H									
2	Fin/Rep/Hum	Risk of negative public perception following the completion of the air service procurement	SHG (Janet/ Kerisha)	H	H	Between £1m to £5m		Updates have been provided regarding Fifth Freedom Rights via Namibia. Commitment made to re-route passengers who had booked prior to February deadline at the airline's cost. Advice provided to passengers wishing to travel via Cape Town			H	M		Clear comms strategy to manage expectations . Ensuring that all stakeholders are on message and that there is transparency from the outset.	Dax	L	H									
3	Fin/Rep	Risk of litigation linked to historical failings	SHG (Dax-Matt)	H	VH	Greater than £5m		Risk is identified and flagged with DFID. Service improvements are in place and managed operationally. HMG have been made aware of the possible contingent liabilities	Risk is identified and flagged with DFID. Service improvements are in place and managed operationally. HMG have been made aware of the possible contingent liabilities, the SHG budget 2018/19 has a ringfenced budget for litigation and associated legal costs	Greater than £5m - at present it is difficult to quantify all known cases	H	H		Improvements made in core services to be retained to avoid historical failings. Expert advice to be sought and funding is required for what will be significant amounts.	Dax	M	H									

4	Fin/Rep/Hum	Failure to reach short term revenue growth prediction through low visitor numbers	SHG Dfid FCO (Dax/ESH)	H	L	Between £100,000 to £1m		<a href="#">Move towards more regular flights, discussions ongoing about the introduction of a second weekly flight during the peak season</a>	Announcement of the 2nd flight over the peak season. Developing a competitive inclusive package over the low seasons.		H	L		Linked to air access procurement and also the investment strategy for the island. RMS also scheduled to 2018 to increase bookings following a lull in 2017.	Dax ESH	L	L				
5	Fin/Rep/Hum	Failure to deliver long term economic growth	SHG (Dax/Gilly ESH)	L	VH	Greater than £5m		<a href="#">"10 Year Plan, SEDP development and delivery. Procurement commenced regarding renewables and cable/groundstations moving forward well with MOU signed with SAEx and EU support through the signing of the SPD to come from EDF11 for the cable project. Click box to link to Economic Targets"</a>	<a href="#">"10 Year Plan, SEDP development and delivery. Procurement commenced regarding renewables and cable/groundstations moving forward well with MOU signed with SAEx and EU support through the signing of the Financing agreement for EDF11 for the cable project. Click box to link to Economic Targets"</a>		L	VH		Linked to developing a tourist industry and air access. Securing a sub marine cable and groundstations as well as a move to 100% renewable energy.	SHG	L	H				
6	Fin/Rep/Hum	Failure of Change Management Programme - impact on SHG and Public	Susan	H	VH	Greater than £5m		No further change	Continuous Improvement programme adopted, change managers in place and meeting regularly. New Director of HR and OD being recruited.		M	H		Pay and grading review. Employee reps engaged, specialist appointed to lead the agenda	Susan	M	M				
7	Hum/Rep	Skills Shortage within existing workforce	Susan Directors	VH	VH	Greater than £5m		No further change	SHG training plans, careers guidance and succession planning, and Future Leaders Programme all in place. Community college in place with a wide range of learning opportunities on offer.		H	VH		SHG training plans, careers guidance and succession planning in place. Community college and improved conditons.	Susan Directors	H	M				
8	Fin/Rep/Hum	A National Major Incident, airport, disease, localised emergencies/situations	David L Susan Gilly	L	VH	Greater than £5m		No further change	A major incident exercise is planned to take place with the Airport in October 2018.		L	VH		Resilience forum in place staffed by key professionals. Disaster management planning and testing in place.	Police (David L)	L	VH				

9	Fin/Rep/Hum	Not securing a high speed internet connectivity	Susan Gilly Dax	M	VH	Greater than £5m		Efforts have been concentrated on meeting EU milestones to apply to draw down EDF11 funding at the end of 2018. The Special Educational Needs Policy 'A policy governing the use and benefits of the fibre optic cable on Augmentative, Alternative Communication (AAC)' has been endorsed by the Social and Community Development Committee and will be put to EXCO in June. The next milestone to work on is the telemedicine policy.	Financing agreement for €21.5 million has been signed off by the European Union for St Helena, €17.0 million to support the delivery of the SHG Digital Strategy through the realisation of the submarine cable to enable faster and more reliable internet connectivity on the Island. Milestones are on progress to be met. Financial close of the SAEx project is expected soon, thereafter survey work is to commence.		M	VH		SHG has signed a letter of interest and is in advance discussions with potential providers including groundstations. Clear socio-economic need for the cable and funding from EDF will be required to deliver.	Susan Gilly Dax	L	H	
10	Fin/Hum	Morbidity and mortality due to obesity and lifestyle	Akeem Ali	H	H	Between £1m to £5m		No further change	Chronic Disease Management Service initiated. Health Promotion and Social Marketing Programme commenced tackling obesity and high smoking prevalence. Specialist medical services on the island and overseas referral strengthened.		H	M		Intensive sustained focus on health promotion and appointing specialist staff and involving all agencies. Focus on increased agriculture locally.	Akeem Ali	M	M	
11	Fin/Rep/Hum	Lack of health and safety on the island leading to severe injury, death and service disruption	Susan	H	VH	Greater than £5m		No further change	No further change		H	H		Review current position and develop systems. Legislation for St Helena covering all aspects of H&S. Will sit centrally and move from health.	Gilly	M	H	
12	Fin/Rep/Hum	Lack of services for ageing population	Akeem Ali Stephanie	VH	H	Between £1m to £5m		No progress with the development of capital bids for extending Cape Villa or developing a dementia unit. A new post of Head of Care (Dementia Lead) has been created at the CCC. A proposal to recruit care staff through an Agency in South Africa is being developed to mitigate the staffing issues at the CCC. No further progress on formalising the Home Care Service.	No further change due to the rollover budget position		H	H		Specialist staffing in place, homecare policy in place, funding for additional staff, adaptations and capital bids to extend Cape Villa and develop a dementia unit.	Stephanie J	L	H	


13	Fin/Rep	Failure to protect and maintain key infrastructure such as IT	Susan Gilly	H	VH	Greater than £5m		Feedback on draft IT Risk Register received from Internal Audit. Register will now be finalised. Action Plan drafted and submitted to Internal Audit for comment.  1)The IT Section Manager is now included within the regular Corporate Risk Management process. This means that the officer is now able to report quarterly, in respect of key IT Risks being faced by the St Helena Government.  2)The draft IT Register was developed within the stipulated time frame (September 2017).  3) The detailed Action plan was completed within the revised time frame (18th January 2018).		L	H	Invest in ongoing maintenance and improvements as part of a long term replacement and upgrading plan.  1)The IT Section Manager inclusion within the regular Corporate Risk Management process, now means now that the officer will be able to report quarterly, in respect of key IT Risks being faced by the St Helena Government.  2)A draft IT Register developed within the stipulated time frame (September 2017).  3)A detailed Action plan will be completed before the 18th January 2018	Gilly	L	H				
14	Fin/Rep/Hum	Failure to underpin basic education	Shirley	H	VH	Greater than £5m		Work continues as described in December 2017. PAS students are awaiting results in August; primary assessments will take place in July. Upgrades to schools' IT infrastructure mean that primary students are now able to complete online assessments in their own school rather than having to travel to another site.  Assessments to be completed by end of July 2018. Results for the 2017/18 school year will be available by the end of August 2018. School improvement plans have been implemented over the 2017/18 and new plans will be developed for 2018/19. Teacher training programme continues with new cohort to begin in September 2018.		M	VH	Investment in teaching staff, materials, connectivity and opportunities to access qualifications and exposure.	Shirley	L	H				
15	Fin/Rep/Hum	Terrorism	David L	L	VH	Greater than £5m		No further change  Two firearms courses have been completed. Staff trained in several tactics. This will be developed further in 2018		L	VH	Enhancements to the quality of intelligence within the OTRCIS system. Air access may increase the risk  Resilience forum in place to respond to major emergencies.  Immigration access to intelligence improving  Electronic Visa system coming on line in the next three months - Update, Electronic system now in place however technical issues are being experienced.  Firearms capability to be developed by the end of 2017. - Firearms course now booked - due to start training end of October.	David Lynch	L	VH				

16	Fin/Rep/Hum	Failure to provide adequate water security	Susan	H	VH	Greater than £5m		No further change	No further change		H	H		Water strategy to be developed and implemented. Investment in key infrastructure, reduce waste and consumption.	Susan	L	H	
17	Fin/Rep	Following commencement of air services in October Risk of delays/cancellations for newly established air service	SHG (Janet)	VH	M	Greater than £5m		No major delays recorded in the period Jan-Mar.  Situation being monitored. Regular review meetings held with Airlink	No further change		VH	H		SHG to put in place plan for diversions. Airlink to prepare Comm Strategy with SHG. As confidence grows in the air service, the impact (particularly from negative media) will reduce.	Airport board - link to access office	VH	M/L	
18	Fin/Rep/Hum	Lack of Human Capital and appropriate skills to develop the economy and deliver key services	CLG/EMs	VH	VH	Over £5m		No further change	Continue to attract working age people on to the Island		H	H		Successful attraction of working age people on to the Island	Susan	M	M	
19		Number of key areas that are reliant on one person or a particular system for business continuity	Susan O'Bey	H	H	Between £1m to £5m		A new OD manager has been appointed who will take up post in June 2018. This post will oversee the FL Programme and the CI work already started. The OD manager will work with HR and Directorates to identify and implement staff training programmes which will address priority areas identified.	No further update		M	M		Increased skills and capacity building through organisational development	Susan	L	M	
20		Lack of financial management capacity and capability across SHG	SHG (Dax)	H	VH	Between £1m to £5m		Corporate Finance Restructure underway to increase the financial management resources across SHG. TC officers to be used to fill short term capacity gaps.  New Cadre being developed for finance professionals	Corporate Finance Restructure underway to increase the financial management resources across SHG. TC officers to be used to fill short term capacity gaps - 2 new management accountants starting on 1 September 2018  New Cadre being developed for finance professionals		M	M		Centralise the finance function, introduce a new cadre for finance professionals link to the achievement of competencies. Implement a Corporate Finance restructure.	Dax	L	M	
21		The financial position of SHG and the level of funding available within the General Reserve.	SHG (Dax)	M	VH	Greater than £5m		A new Management Accountant is in post and two additional accountants are being recruited to increase capacity in performance management.  The minimum level on the general reserve to be increased to £3million	A new Management Accountant is in post and two additional accountants have been recruited to increase capacity in performance management and will start on 1 September 2018.  The minimum level on the general reserve to be increased to £3million, currently projected to be £2.4m at 31 March 2018.	Currently at £2.4m	M	H		Improved management accounting function to increase scrutiny of revenue and expenditure. More political oversight of financial performance across the organisation	Dax	L	M	


22		The deteriorating financial position of Basil Read Ltd and the impacts on the completion of phase 1 of the airport DBO contract	Janet/Alan	L/M	H	Greater than £5m	Meetings held with Basil Read Senior Management, Halcrow and DFID in March 2018.  BR showing a loss at end December 2017 but some progress seen under their turnaround plans.  Targets agreed for release of funding under Phase 1 works.	Notice received on 15 June 2018 that Basil Read had entered Business Rescue.  SHG, DFID and PMU are currently working closely with Basil Read and the Business Rescue Practitioners to ensure that immediate concerns regarding Phase 1 (Construction Phase) of the Airport project are addressed.		H	H	SHG, DFID and PMU are currently working closely with Basil Read and the Business Rescue Practitioners to ensure that immediate concerns regarding Phase 1 (Construction Phase) of the Airport project are addressed.	Janet	H	H				
23		The deteriorating financial position of Basil Read Ltd and the impacts on the ability to keep the airport operational	Janet/Alan	L/M	H	Greater than £5m	Meetings held with Basil Read Senior Management, Halcrow and DFID in March 2018.  BR showing a loss at end December 2017 but some progress seen under their turnaround plans.  Contingency Plan for Phase 2 continues to be developed	Notice received on 15 June 2018 that Basil Read had entered Business Rescue.  Basil Read Business Rescue Contingency Planning Group currently putting in place contingencies to ensure that there is no disruption to airport operations in the case that Basil Read goes into liquidation.		H	H	Basil Read Business Rescue Contingency Planning Group currently putting in place contingencies to ensure that there is no disruption to airport operations in the case that Basil Read goes into liquidation.	Janet	H	H				

PROBABILITY	VH					
	H					
	M					
	L					
	VL					
		VL	L	M	H	VH
IMPACT						

#### RISK CATEGORY

Fin - Financial

Rep - Reputational

Hum - Human

Level of Impact	Impact Definition
Very High (VH)	* Threatens existence of organisation; and/or * Financial impact is likely to be greater than £5 million loss
High (H)	* Threatens achieving major part of SHG objectives; and/or * Financial impact is likely to be between £1 million to £5 million loss
Medium (M)	* Threatens achieving major part of SHG objectives; and/or * Financial impact is likely to be between £100,000 to £1 million loss
Low (L)	* Minor operational inconvenience; and/or * Financial impact is likely to be between £100,000 to £1 million loss
Very Low (VL)	* Minor operational inconvenience; and/or * Financial impact is likely to be £100,000 loss or less

Level of Probability	Probability Definition
Very High/Probable (VH)	More than 80% chance that the risk will materialise
High/Probable (H)	A 50% to 79% chance that the risk will materialise
Medium/Possible (M)	A 20% to 49% chance that the risk will materialise
Low/Remote (L)	A 5% to 19% chance that the risk will materialise
Very Low/Remote (VL)	Less than 4% chance that the risk will materialise



## **1.0 Summary of Financial Performance of SHG For the quarter ended 30 June 2018**

### **Basis of preparation**

The management accounts have been prepared to include the budget execution report which is adjusted for non appropriated transactions and reconciled to the General Reserve (See 5.0). Also included is a Statement of financial Position and summary Reserve balances. The Opening Reserve balances have been extracted from the draft unaudited Financial Statements for the year ended 31 March 2018. Significant Annual Adjustments not included within the Management Accounts are as follows:

Recharges - Fund surpluses and deficits have not been adjusted to reflect netting off of internally generated recharges and unrealised profits.

Pensions - Annual Actuarial valuation.

### **Total Funds**

The movement on the Consolidated Fund for the period to 30 June 2018 is a deficit of (£2,174k), made up as follows:

General Reserve deficit (£272k). **(5.0) / (7.0)**

Capital Reserve deficit of (£379k). **(7.0)**

Aid Funded Infrastructure Reserve deficit (£1,517k). **(7.0)**

Donated Asset Reserve deficit of (£6k). **(7.0)**

The movement on Special Funds for the period to 30 June 2018 is a deficit of (£301k), made up as follows:

Operating/ Trading deficit for the year of (£562k). **(8.0)**

Project Funds Received £344k. **(8.0)**

Project Funds Applied for (£350k). **(8.0)**

Funds applied to capital Finance (£38k). **(8.0)**

Transfers between Reserves £305k. **(8.0)**

### **The Consolidated Fund General Reserve**

A delay in receiving confirmation of the financial aid settlement meant that a balanced budget could not be agreed in readiness for the start of the 2018/19 financial year. A Rollover budget, which is effectively to carry forward the previous years budget for four months was agreed by Legislative Council on 23 March 2018 to ensure that public services continued to be delivered during this period.

The Consolidated Fund Budget Report reflects a deficit of (£0.4m) for the period in comparison to a budget deficit of (£0.2m).

Budgeted revenue for the year to date was £9.1m, compared to actual revenue for the year to date of £8.4m.

Budgeted expenditure for the year to date was £9.3m. Actual expenditure for the same period was £8.8m. This represents an under spend of £0.5m, which is a favourable variance of 5.5% against the expenditure budget for the period.

## Key Revenue and Expenditure Variances

The Analysis of Revenue Report **(3.0)** provides an analysis of actual and budgeted revenues by revenue stream. Brief comments on significant variances are provided below.

Grant-in-Aid, Adverse variance of (£436k) - The budget for the first four months is based on a rollover budget where funding from DFID was unknown. This budget will be realigned in Period 5.

Customs duties, adverse variance of (£363k) due to a lower level of imports than anticipated when preparing the budget resulting in under collection.

Income Tax, an adverse variance shown due to budget phasing issue. This will be realigned in period 5.

Recharges - Customs, favourable variance of £105k - No budget for Customs recharges from other Directorates.

The Consolidated Fund Budget Report **(2.0)** provides an analysis of actual and budgeted expenditure by the appropriated Head of Expenditure. Under/overspends of £50k are reported for Corporate HR (Technical Co-operation), Health (Medical Evacuation) and Environmental & Natural Resources. Brief comments on these under spends / (over spends) are provided below.

### Recurrent Expenditure

Human Resources Services (Technical Consultancy) £68k under spend - The majority of the under spend relates to consultancy costs where services to SHG have been delayed.

Health - Medical Evacuation £281k under spend - The number of aero medical evacuations are less than budget. The medical evacuation budget is to be adjusted in Period 5 to adjust the phasing of the budget line.

Environmental & Natural Resources £174k under spend - The majority of under spend relates to contracting costs for building works and refurbishment works put on hold.

### Capital Expenditure

There have been no significant capital purchases for the period.

## 2.0 Budget Report - Consolidated Fund

YEAR TO DATE - P3 JUNE 18				ROLLOVER BUDGET
Actual	Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Four months to 31/7/18
£	£	£	%	£

### Directorate/ Expenditure Area

#### Revenue

Corporate Support, Policy & Planning	59,368	50,316	9,052	18.0%	67,128
Police	90,646	78,549	12,097	15.4%	104,732
Corporate Finance	2,105,654	2,438,849	(333,195)	(13.7%)	3,419,982
Payments on behalf of the Crown	5,705,040	6,158,352	(453,312)	(7.4%)	8,212,172
Education	50,131	48,229	1,902	3.9%	64,372
Health	190,650	163,392	27,258	16.7%	218,108
Environment & Natural Resources	157,346	151,815	5,531	3.6%	203,865
Safeguarding	24,433	23,534	898	3.8%	31,500
<b>Total recurrent income</b>	<b>8,383,267</b>	<b>9,113,036</b>	<b>(729,769)</b>	<b>(8.0%)</b>	<b>12,321,859</b>

#### Recurrent Expenditure

Corporate Support, Policy & Planning	(344,213)	(372,532)	28,319	7.6%	(478,667)
Human Resources Services	(85,081)	(111,311)	26,230	23.6%	(147,800)
Technical Cooperation	(1,914,574)	(1,982,972)	68,398	3.4%	(2,685,200)
Police	(341,306)	(362,319)	21,013	5.8%	(483,333)
Corporate Finance	(283,530)	(276,615)	(6,915)	(2.5%)	(374,334)
Payments on behalf of the crown	(1,210,601)	(1,192,279)	(18,322)	(1.5%)	(1,628,333)
Economic Development	(271,250)	(225,000)	(46,250)	(20.6%)	(361,667)
Pensions	(298,076)	(306,000)	7,924	2.6%	(441,000)
Basic Island Pension	(543,252)	(533,000)	(10,252)	(1.9%)	(697,000)
Income Related Benefits	(118,737)	(115,700)	(3,037)	(2.6%)	(151,300)
Shipping	(125,458)	(125,001)	(457)	(0.4%)	(166,668)
Education	(762,572)	(791,145)	28,573	3.6%	(1,080,333)
Health	(833,625)	(813,546)	(20,079)	(2.5%)	(1,082,933)
Overseas Medical Treatment	(352,260)	(305,600)	(46,660)	(15.3%)	(407,400)
Medical Evacuation	(18,545)	(300,000)	281,455	93.8%	(400,000)
Environment & Natural Resources	(679,394)	(853,137)	173,743	20.4%	(1,165,667)
Safeguarding	(610,516)	(635,018)	24,502	3.9%	(856,667)
<b>Total Recurrent Expenditure</b>	<b>(8,792,990)</b>	<b>(9,301,175)</b>	<b>508,185</b>	<b>5.5%</b>	<b>(12,608,302)</b>

#### Capital Expenditure

Education	(1,434)	0	(1,434)	(100.0%)	0
Environment & Natural Resources	(14,194)	0	(14,194)	(100.0%)	0
<b>Total Capital Expenditure</b>	<b>(15,628)</b>	<b>0</b>	<b>(15,628)</b>	<b>(100.0%)</b>	<b>0</b>

#### Surplus / (Deficit) for the period on Consolidated Fund

<b>Total Surplus / (Deficit) for the period</b>	<b>(425,351)</b>	<b>(188,139)</b>	<b>(237,212)</b>		<b>(286,443)</b>
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### 3.0 Analysis of Revenue Report - Consolidated Fund

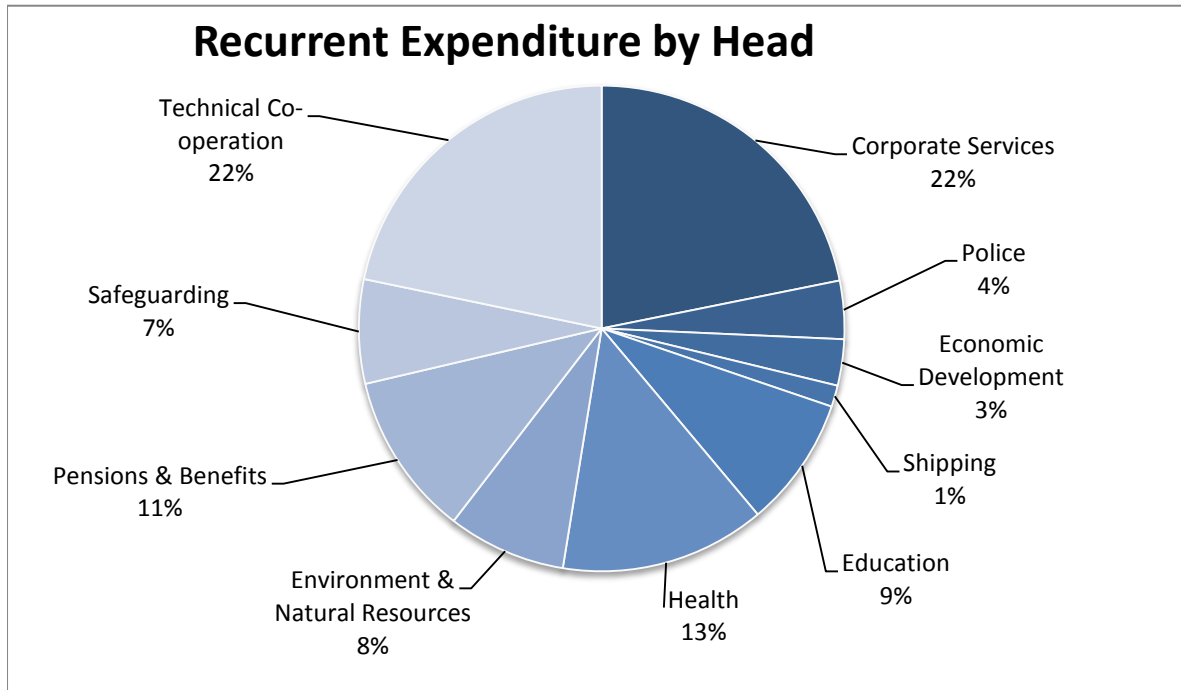
	YEAR TO DATE - P3 JUNE 18				ROLLOVER BUDGET
	Actual	Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Four months to 31/7/18
	£	£	£	%	£
Taxes - PAYE	804,983	750,000	54,983	7.3%	1,000,000
Taxes - Self Employed	14,211	66,000	(51,789)	(78.5%)	88,000
Corporation Tax	9,395	126,750	(117,355)	(92.6%)	169,000
Goods & Services Tax	110,384	91,500	18,884	20.6%	122,000
Taxes - Withholding Tax	2	0	2	100.0%	0
Customs - Other	378,801	625,000	(246,199)	(39.4%)	800,000
Customs - Alcohol	164,291	275,000	(110,709)	(40.3%)	400,000
Customs - Tobacco	82,994	200,000	(117,006)	(58.5%)	350,000
Customs - Petrol	102,246	70,750	31,496	44.5%	141,500
Customs - Diesel	188,824	20,000	168,824	844.1%	60,000
Customs - Liquor Duty	4,870	2,850	2,020	70.9%	4,150
Customs - Excise Duty	43,959	135,000	(91,041)	(67.4%)	180,000
<b>Taxes</b>	<b>1,904,960</b>	<b>2,362,850</b>	<b>(457,890)</b>		<b>3,314,650</b>
Stamp Duty	10,056	10,540	(484)	(4.6%)	14,040
Dog License	1,048	1,659	(611)	(36.8%)	2,212
Firearm License	1,751	2,250	(499)	(22.2%)	3,000
Liquor License	630	800	(170)	(21.3%)	950
Road Traffic License	44,464	46,248	(1,784)	(3.9%)	61,664
Gaming Machines License	0	0	0	0.0%	0
Other Licenses & Duty	70	750	(680)	(90.7%)	1,000
<b>Duty &amp; Licenses Received</b>	<b>58,019</b>	<b>62,247</b>	<b>(4,228)</b>		<b>82,866</b>
Court Fees & Fines	2,286	1,300	986	75.8%	1,800
Light Dues	2,194	2,500	(306)	(12.2%)	3,333
Cranage	724	250	474	189.8%	333
Dental Fees	7,056	3,441	3,615	105.1%	4,588
Fees of Office	5,998	4,999	999	20.0%	6,666
Medical & Hospital	61,011	38,940	22,071	56.7%	51,920
Trade Marks	4,271	1,800	2,471	137.3%	2,600
Post Office Charges	679	1,500	(821)	(54.7%)	2,000
Meat Inspection Fees	822	4,248	(3,426)	(80.7%)	5,664
Vet Services	7,165	6,000	1,165	19.4%	8,000
Birth, Marriage & Death Fees	1,106	600	506	84.3%	800
Land Registration Fees	1,838	2,784	(946)	(34.0%)	3,712
Spraying Fees	915	0	915	100.0%	0
Immigration Fees	27,578	14,553	13,025	89.5%	19,404
Nationalisation Fees	1,080	0	1,080	100.0%	0
Fish & Food Testing	5,200	3,024	2,176	72.0%	4,284
Planning Fees	4,676	5,250	(574)	(10.9%)	7,000
GIS Fees	1,964	4,248	(2,284)	(53.8%)	5,664
Company Registration Fees	245	480	(235)	(49.0%)	580
Other Fees	436	6,930	(6,494)	(93.7%)	9,070
<b>Fines &amp; Fees Received</b>	<b>137,244</b>	<b>102,847</b>	<b>34,397</b>		<b>137,418</b>
Agricultural Gardens	11,034	2,000	9,034	451.7%	3,500
Leased House Plots	11,067	4,095	6,972	170.3%	5,460
Home to Duty Transport	60	60	0	0.0%	80
Commercial Property Rents	16,081	13,119	2,962	22.6%	17,492
Miscellaneous Receipts	66,205	39,636	26,569	67.0%	53,916
Agricultural Buildings	3,662	2,526	1,136	45.0%	3,368
<b>Government Rents</b>	<b>108,109</b>	<b>61,436</b>	<b>46,673</b>		<b>83,816</b>



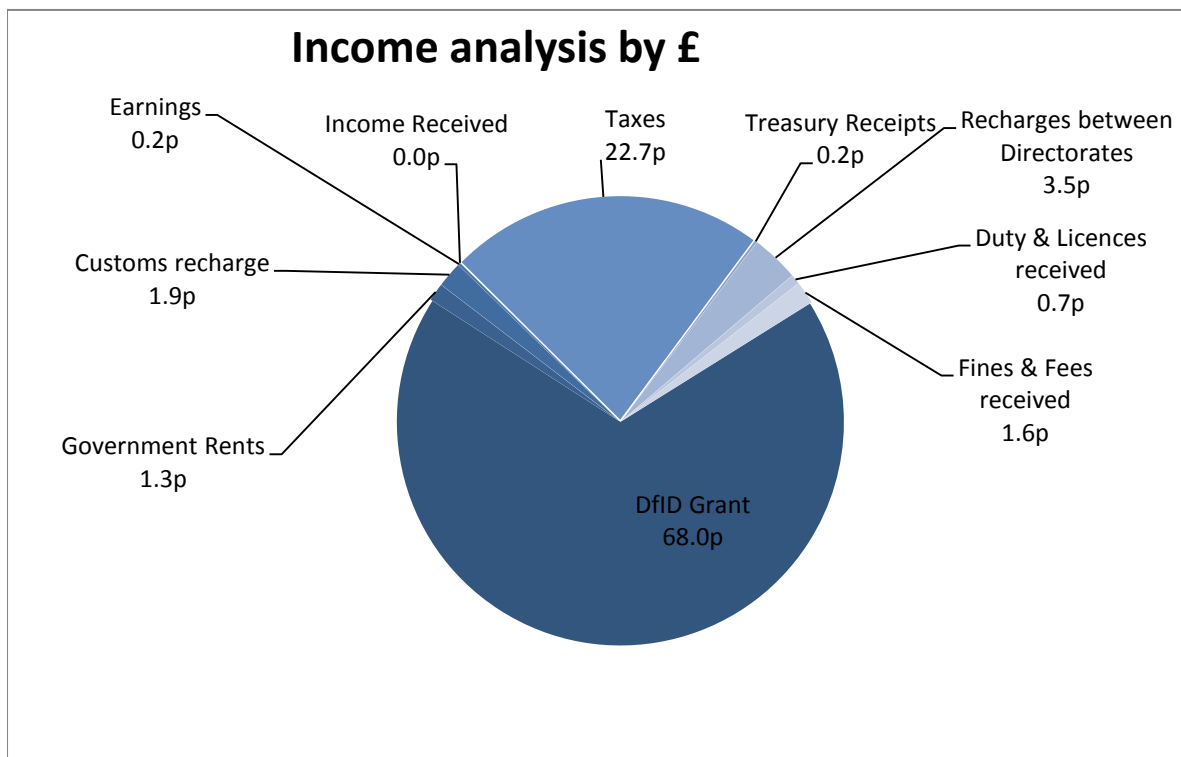
### 3.0 Analysis of Revenue Report - Consolidated Fund

YEAR TO DATE - P3 JUNE 18				ROLLOVER BUDGET
Actual	Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Four months to 31/7/18
Stamp Sales( Postal)	9,335	8,000	1,335	11,000
Stamp Sales( Philatelic)	1,314	6,500	(5,186)	9,000
Sale of Firewood	3,729	2,481	1,248	3,308
Sale of Timber Logs	0	1,165	(1,165)	1,165
Other Earnings Received	145	17,214	(17,069)	22,952
<b>Earnings Government Department</b>	<b>14,523</b>	<b>35,360</b>	<b>(20,837)</b>	<b>47,425</b>
Other Income Received	232	4,972	(4,740)	6,502
Bank Charges	53	0	53	0
Plantation House Tours	1,788	250	1,538	350
<b>Income Received</b>	<b>2,073</b>	<b>5,222</b>	<b>(3,149)</b>	<b>6,852</b>
Commission	779	0	779	0
Interest	5,011	11,000	(5,989)	16,000
Other Financial Aid	7,539	0	7,539	0
Grant-in-Aid	5,700,000	6,135,750	(435,750)	8,181,000
<b>Treasury Receipts</b>	<b>5,713,329</b>	<b>6,146,750</b>	<b>(433,421)</b>	<b>8,197,000</b>
Recharges - Customs	155,246	50,000	105,246	70,000
Recharges - Other	289,764	286,324	3,440	381,832
<b>Recharges Received</b>	<b>445,010</b>	<b>336,324</b>	<b>108,686</b>	<b>451,832</b>
<b>TOTAL REVENUE</b>	<b>8,383,267</b>	<b>9,113,036</b>	<b>(729,769)</b>	<b>12,321,859</b>

## 4.0 Consolidated Fund Budget Report visual aids



Analysis of total expenditure (recurrent & capital) by head for the quarter to June 18.



Analysis of income to show where every £ of income is derived for the quarter to June 18.



## 5.0 Summary of Movements on the Consolidated Fund

	Quarter ending June 18 £	Year ending 31 March 18 (UNAUDITED) £
<b>Balance on the General Reserve as at 1 April 2018</b>	<b>3,194,398</b>	<b>(713,882)</b>
Recurrent surplus/ (deficit)	(111,647)	2,619,294
Capital Expenditure	(15,628)	(475,187)
Pensions	(298,076)	(1,292,739)
Total surplus / (deficit) (From Budget Report Consolidated Fund)	<b>Note 1 (425,351)</b>	<b>851,368</b>
Transfers from Currency Fund	0	500,000
<b>Transfers between Reserves</b>		
Contribution from the European Development Fund	0	1,000,000
Litigation claims (Shown under Litigation Reserve)	160,829	0
Contribution from the Bulk Fuel Trading Account	0	770,000
	<b>160,829</b>	<b>1,770,000</b>
<b>Accounting Adjustments - excluded from Budget Report Consolidated Fund</b>		
Movement on Provision for Staff Leave Liability	(14,931)	60,114
Movement on Provision for Bad and Doubtful Debts	0	(29,365)
Prior Year Accounting Adjustments	8,646	301,553
Corporation tax accrued income (not accrued 16/17)		
Manual Adjustment stat accounts (Not included in budget execution)	0	461,766
Elimination of recharges	0	(1,598)
Capital Gain/ (Loss) on Investments	(822)	(2,650)
Gain/ (Loss) on Balances held in Foreign Currencies	0	(2,908)
Movement on provision for litigation claims		
<b>Note 2</b>	<b>(7,107)</b>	<b>786,912</b>
<b>Total movement on General Reserve for the period to June 18</b>	<b>(271,629)</b>	<b>3,908,280</b>
<b>Balance on the General Reserve as at 30 June 2018</b>	<b>2,922,769</b>	<b>3,194,398</b>

**Note 1 - Surplus / (Deficit) in relation to fund movements with an associated budget line**

**Note 2 - Surplus / (Deficit) in relation to fund movements without an associated budget line**



## 6.0 Statement of Financial Position

	30 June 2018	31 March 2018
	£'000	UNAUDITED £'000
<b>ASSETS</b>		
<b>Current Assets</b>		
Cash & Cash Equivalents	7,262	5,507
Investments	1,501	1,501
Recoverable from Non-exchange Transactions	1,888	2,649
Receivables	1,859	1,464
Inventories	1,366	1,321
Prepayments	12,400	12,415
Assets Held for Sale	0	932
	<u>26,276</u>	<u>25,789</u>
<b>Non-current Assets</b>		
Non-Current Investments	28,697	28,697
Receivables	64	64
Property, Plant & Equipment	283,342	285,240
Intangible Assets	101	106
	<u>312,204</u>	<u>314,107</u>
<b>Total Assets</b>	<b><u>338,480</u></b>	<b><u>339,896</u></b>
<b>LIABILITIES</b>		
<b>Current Liabilities</b>		
Payables	6,887	5,666
Provisions	988	1,149
	<u>7,875</u>	<u>6,815</u>
<b>Non-current Liabilities</b>		
Pension Liabilities	71,612	71,612
Provisions	3,759	3,759
	<u>75,371</u>	<u>75,371</u>
<b>Total Liabilities</b>	<b><u>83,246</u></b>	<b><u>82,186</u></b>
<b>NET ASSETS</b>	<b><u>255,234</u></b>	<b><u>257,710</u></b>
<b>FUNDS AND RESERVES</b>		
Consolidated Fund	7.0 250,167	252,342
Special Funds	8.0 5,067	5,368
	<u>255,234</u>	<u>257,710</u>



## 7.0 Fund Balances - The Consolidated Fund

	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	Balance at 1 April 2018 (UNAUDITED)	Surplus / (Deficit) for the period	Claims settled	Provision released	Use of General Reserve to finance payment of pension benefits	Depreci ation	Use of Special Funds to finance Capital Expenditure	Use of General Reserve to finance Capital Expenditure	Transfers between reserves	Gain/(Loss) on Financial Assets	Balance at 30 June 2018
General Reserve	3,194	347			(298)			(16)	(305)	(1)	2,921
Capital Reserve	45,765					(433)	38	16			45,386
Revaluation Reserve	3,698										3,698
Pension Reserve	(71,612)										(71,612)
Investment in Subsidiary Reserve	28,697										28,697
Aid funded Infrastructure Reserve	247,215					(1,517)					245,698
Donated Asset Reserve	143					(6)					137
Litigation Reserve	(4,758)		(161)	161							(4,758)
Total	252,342	347	(161)	161	(298)	(1,956)	38	0	(305)	(1)	250,167

## 8.0 Fund Balances - Special Funds

	£'000	£'000	£'000	£'000	£'000	£'000
	Balance at 1 April 2018 UNAUDITED	Surplus / (Deficit) for the period	Funds received	Funds applied	Funds applied to capital finance	Transfers between reserves
IT Trading account	273	59				1
Transport Trading account	869	(28)			(5)	
Unallocated Stores Trading account	(119)					
St Helena Audit Service Trading Account	151	(38)				
Housing Service Trading Account	88	26			(26)	
Bulk Fuel installation Trading Account	2,502	(605)				304
Airport Trading Account	80	13				
DFID Projects	711		338	(338)		
DFID Technical Cooperation	0					
DFID Infrastructure	(621)			(6)		
DFID Funded ST TC	0					
EDF Projects	424		3	(3)		
Locally funded Projects	115					
UNDP Projects	9		3	(3)		
Government Landlord Housing Capital Fund	452					
Improvements and New Construction Revolving Fund	59	4				
Environmental Management Projects Fund	(15)					
Capital Receipts Fund	370	7			(7)	
Animal Husbandry Fund	20					
<b>Total</b>	<b>5,368</b>	<b>(562)</b>	<b>344</b>	<b>(350)</b>	<b>(38)</b>	<b>305</b>
						<b>5,067</b>