



St Helena Government

PERFORMANCE REPORT

**FOR PERIOD 6 - SEPTEMBER 2018
FINANCIAL YEAR ENDING 31 MARCH 2019**

Introduction and Overview

Overall Rag Status

Key Performance Indicators

Strategic Corporate Risks Qtr2

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**St Helena
Government**

Overview of Performance for SEPTEMBER 2018

Introduction

On 13 Sept 2018, Safeguarding Directorate 'CARE' Caring and Respecting Everyone campaign was held. This was an open-door event which allowed people to talk with staff from the Directorate, learn more about working with the Directorate and a day in the life of a Carer, and meet with some residents.

Free Nicotine replacement therapy continues. The service offers appointments for smokers to get practical advice about more efficient ways to help kick the habit for good.

Summary of Key Performance Indicators

1. Number of stay over tourists for August were 143, making year to date total of 727.
2. Number of plane passengers were 230, making year to date total of 1299.
3. St Helena Fire & Rescue received a total of 13 reports with an average 9.8 minute response time.
4. 48% of 2 year olds were immunised against those due on census data, and 62% of 2 yr olds were immunised against those due from birth rate.
5. 67% of adults had excess weight and BMI >25 out of all patients seen to date, and 50% of adults had BMI>25 out of all patients who had their BMI checked.
6. A total of 19 crimes were reported for August.
7. 31.09% of energy generation from renewables, and unplanned electricity interruptions increased up to 20 due to adverse weather conditions.
8. 63% of eligible clients engaging in Day Care
9. A total of 4 scheduled flights arrived to St Helena Airport and a total of 4 departed.

Corporate Risk Management

Progress was made under the following risk areas for Quarter 2: See spreadsheet for detail.

Risk 1: Insufficient resources to deliver public services and key capital infrastructure

Risk 3: Risk of litigation linked to historical failings

Risk 4: Failure to reach short term revenue growth prediction through low visitor numbers

Risk 6: Failure of a Change Management Programme – impact on SHG and Public

Risk 10: Morbidity and mortality due to obesity and lifestyle

Risk 11: Lack of Health and Safety on the island leading to severe injury, death and service disruption

Risk 12: Lack of services for ageing population

Risk 13: Failure to protect and maintain key infrastructure such as IT

Risk 15: Terrorism

Risk 18: Lack of Human Capital and appropriate skills to develop the economy and deliver key services




Risk 20: Lack of financial management capacity and capability across SHG

Risk 21: The financial position of SHG and the level of funding available within the General Reserve.

Risk 22: The deteriorating financial position of Basil Read Ltd and the impacts on the completion of phase 1 of the airport DBO contract.




Risk 23: The deteriorating financial position of Basil Read Ltd and the impacts on the ability to keep the airport operational

Note that risks 22 and 23 have now crystallized and will be removed from future reporting.

Overall Rag Status		Monthly Progress		Leading Indicator	
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Summary of Financial Performance

Please see 1.0 Overview sheet for summary

Overall Rag Status		Monthly Progress		Leading Indicator	
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Key Revenue and Expenditure Variances

Please see 1.0 Overview sheet for summary






Overall Rag Status		Monthly Progress		Leading Indicator	
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Capital Programme

No reporting at this point as currently there is no programme.



Overall rag status summary

	August			September		
	Overall Progress	Monthly change 2018/19	Leading Indicator	Overall Progress	Monthly change 2018/19	Leading Indicator
	4	1	2	4	1	2
	1	0	0	1	0	0
	24	26	20	28	30	23
	15	17	22	14	16	22
 UNKNOWN (due to lack of/unavailability of data)	4	4	4	1	1	1

The above table summarises the data in the report. There are 48 areas outlined in the report and both backward and forward looking RAG ratings have been provided.

For this report information has not been provided for all areas .

8% of areas were given a Red rating

2% of areas were given an Amber Red rating

58% of areas were given an Amber rating

29% of areas were given a Green rating

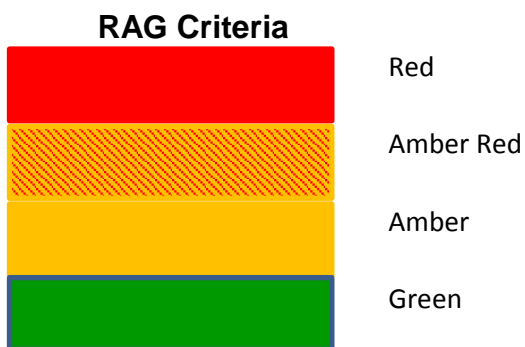
2% of areas were given an unknown rating

*Figures may not total 100% due to rounding




METHODOLOGY

For the Performance Report information is provided in four columns.

- The first ("**Overall Performance Progress**") is an indicator of progress over the past month relative to expectations at the beginning of the year.
- The second ("**Monthly Change**") highlights whether this progress is an improvement, or otherwise, from the previous month.
- The third ("**Leading Indicator**") aims to give a snapshot of how progress is likely going forward and provide a early warning system for potential issues.
- The fifth ("**Commentary**") aims to provide a succinct overview of each area.



Key to Leading indicator arrows:

-  Performance improving
-  Performance maintaining
-  Performance worsening

SHG KEY PERFORMANCE INDICATOR REPORT

PERIOD 6 (September 2018)

No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events	
Altogether Safer		Safeguarding (Frankie)	<p>(A) % of referrals received for children's services resulting in</p> <ol style="list-style-type: none"> assessment strategy meeting section 57 no further action <p>(B)% of referrals completed in agreed timescales (quarterly reporting); Looked After Child (LAC) reviews completed in agreed timescales;</p> <p>(C) % of children open to Children's Services who have an up to date care plan</p> <ol style="list-style-type: none"> Child in Need (3 monthly) Child Protection Plan (3 monthly) Looked After Child (6 monthly); <p>(D) Number of children open with a disability;</p> <ol style="list-style-type: none"> number of cases where direct work is being completed; number of cases with MAPPA involvement 	<p>309 referrals received</p> <p>23% required single assessments</p> <p>Approx. 90% completed within timescale</p>	<p>A. 1) 35%</p> <p>2) 20%</p> <p>3) 10%</p> <p>4) 35%</p> <p>B. 95%</p> <p>C. 100%</p> <p>(1) 100%</p> <p>(2) 100%</p> <p>(3) 100%</p> <p>D. 90%</p>				<p>SEPTEMBER:</p> <p>(A) No of referrals 15</p> <ol style="list-style-type: none"> No. resulting in assessments 7 (47%) No. resulting in strategy discussions 0 No. resulting in S57 enquiries 0 No. resulting in NFA 3 <p>(B) % assessments completed within timescale – previous reported figures for 2018 were inaccurate (apologies for this). Correct figures are: 1st quarter 60%, 2nd quarter 71% and 3rd quarter 67%.</p> <p>(C) Up to date plans – 1), 2) and 3) = all 100%</p> <p>Case Breakdown September</p> <p>No. of CIN cases 25</p> <p>No. of CP Cases 7</p> <p>No. of LAC cases 3</p> <p>(D) No. of children open with a disability 5</p> <p>No. of cases where direct work is currently being undertaken 9 (this does not include work carried out by the Therapeutic Practitioner)</p> <p>No. of cases with MAPPA involvement 9</p>		↔	↔		
	2	Safeguarding (Victoria)	Joint visits are conducted with other agencies (Health, OT, MH, Physio etc.)	<p>2016 was 47 OT visits and 2 MH.</p> <p>Currently all joint visits OT, Police, Specialists etc. and MDTs are captured – 2017/18 YTD is 123.</p> <p>All joint visits to be recorded.</p>	60				September - 7 joint visits conducted (Year to date total: 56)		↑	↑		
	3	Police	Reduce Overall Crime	<p>The figure will not be set until the end of the current PPY as the figure for the current year plays a part in setting the figure.</p> <p>In any case, the figure will be divided by 12 and monitored on a monthly basis.</p>	<p>Less than five year average</p> <p>The target is 240 crimes a year which is an average of 20 crimes per month.</p>	Less than five year average	Less than five year average	Less than five year average	September- 12 crimes		↔	↔		
	4a	Police	Improving community trust and confidence in the services provided by the Directorate		80% satisfaction level from those surveyed.	80% satisfaction level from those surveyed.	85% satisfaction level from those surveyed.		September : 4 call backs 100% satisfaction 8 surgeries completed. 76 community engagement hours		↔	↑		
	4b	Police	Working with partners, volunteers and stakeholders to maintain public safety and our responses to incidents		100 Road Traffic Collisions (RTCs) or less	100 RTCs or less	100 RTCs or less		September = 10% reduction in RTCs 4 in total		↔	↔		
	5	Police	The St Helena Fire and Rescue Service will provide an immediate response to all related emergencies	Monitored on a monthly basis based upon the number of calls attended.	Attendance within 12 mins for the first appliance	Attendance within 12 mins for the first appliance	Attendance within 12 mins for the first appliance		September: 11 reports received with an average 12.27 minutes response time.		↔	↑		
	6	Safeguarding (Wendy)	Number of older persons (over 65) who are admitted to residential/sheltered accommodation - Reduce the number of admissions compared to the previous year	<p>13 admissions in residential/sheltered accommodations for 2016/17 (3 in sheltered and 10 in residential)</p>	15 admissions				0 Admissions to residential Admission into Sheltered Accommodation 0		↔	↔		
	7	Safeguarding (Victoria)	% of eligible clients engaging in Day Care or Overnight Respite on at least three occasions per calendar month.	<p>Monitoring of respite/day care provision would allow us to monitor this against our home support figures – ie should home support reduce as respite/day care increases?</p>	65%				51% engaging in day care overnight respite available at this time		↔	↔		
	8	Safeguarding (Victoria)	% of those receiving Home Support/Home care who have had a review within the specified timeframe.	<p>Adults and Older adults 81.5%</p>	<p>Record on a quarterly/yearly basis (financial year)</p> <p>Target = 90%</p>				Qtr 2 - 14 reviews completed in this quarter (will only be able to report a yearly percentage given that reviews can be done in 12 m period)		↔	↔	Quarterly	
	9	Safeguarding (Victoria)	All adult social care assessments to be completed within the specified timeframe.	<p>82 assessments completed</p>	50 assessments				12 assessments completed all in specified timeframe		↔	↑		
	10	Health	<p>Vaccination Coverage</p> <p>Children at 2 years of age, up to date with vaccinations. Measured as</p> <ol style="list-style-type: none"> % of 2 year olds immunised against those due on census data. (Aim = >90%) % of 2 year olds immunised against those due from birth rate. (Aim = >100%) 	<p>2017/18 data</p> <ol style="list-style-type: none"> 85% of 2 year olds (against Census data) 102% of 2 year olds (against birth rate) 	<ol style="list-style-type: none"> ≥ 90% ≥ 90% 	<ol style="list-style-type: none"> ≥ 90% ≥ 90% 		<p>September 2018 YTD</p> <ol style="list-style-type: none"> 37% 47% 		↔	↔			
11	Health	<p>Diabetes</p> <ol style="list-style-type: none"> % of registered diabetes clients who have had their HbA1c tested at least once during the preceding year. (Aim = >85%) % of registered diabetics with "Good Control". (Aim = >50%) 	<ol style="list-style-type: none"> 75% (2015 data) 79% (2017/2018) 34% (June 2015 Data) 46% (2017/2018) 	<ol style="list-style-type: none"> ≥90% ≥60% 	<ol style="list-style-type: none"> ≥90% ≥60% 		<ol style="list-style-type: none"> 67% 50% 		↔	↔				

No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events
12	Altogether Healthier	Health	Obesity: Early detection and prevention or reduction of obesity amongst resident population of St Helena (a) % of Children who are overweight or obese when measured on an annual basis in school. (b) % of adults with a BMI >25 out of all patients seen. (c) % of adults with a BMI >25 out of all patients who had BMI check	Accurate baseline to be established for (a) 53% of school children overweight or obese; Overweight = 34%; Obese = 19% N=372 school children weighed March 2017 Boys=191 Girls=181 Boys (51%): overweight = 33%; obese = 18% Girl (54%): overweight = 34%; obese =20 % (b) 23% (222 with excess weight out of 978 patients seen) (c) 74% of all BMI's checked have BMI >25 (222 out of 298)	a) 5% reduction in recorded percentage of overweight children in 2018 b) 5% reduction in % of adults with BMI >25 c) ≤70% of recorded BMI among adults is >25	a) 5% reduction in recorded percentage of overweight children in 2019 b) 5% reduction in % of adults with BMI >25 c) ≤70% of recorded BMI among adults is >25		(a) 31% of school children overweight or obese. (137 out of 449) Overweight = 11%; Obese = 19% N=449 school children weighed. Boys = 226 Girls = 223 • Boys TOTAL 31%; overweight = 12%, obese = 19% • Girls TOTAL 30%; overweight = 10%, obese = 20% b) 29% (946 out of 3284) c) 77% (946 out of 1226)		↔	↔		
13		Health	Obesity - Structured Interventions % of children and adults identified during health assessment as being overweight who receive support through a structured intervention. Children a) % of children identified with excess body weight (149) receiving brief intervention b) % of children identified with excess body weight referred for specialist advice that received specialist advice Adults a) % of adults identified with excess body weight receiving brief intervention b) % of adults identified with excess body weight receiving specialist dietetic advice	Children a) Baseline in July 2017: 0 b) Baseline in July 2017: 2 (100%) Adults a) Baseline in July 2017: 1 b) Baseline in July 2017: 3 (100%)	a) ≥60% of children with excess body weight receive brief intervention b) ≥60% of children with excess body weight receive brief intervention	a) ≥70% of children with excess body weight receive brief intervention b) ≥70% of children with excess body weight receive brief intervention		Children a) 12% (17) b) 2% (2 out of 137) c) 100% (2 out of 2) Adults a) 40% (380 out of 946) b) 77% (48 out of 55) c) 78% (43 out of 55)		↔	↔		
14		Health	Safe provision of an appropriate range of Mental Health services on island a) Waiting times for mental health first follow-up appointments once deemed clinically necessary b) Waiting time for first follow-up clinical psychology appointment once deemed clinically necessary c) Caseload per qualified mental health practitioner d) % of cases per practitioner with care coordination rating of 4 & 5	Baseline to be established March 2018	a) ≤3 days b) ≤7 days c) ≤40/practitioner d) ≤25%	a) ≤3 days b) ≤7 days c) ≤40/practitioner d) ≤25%		Current data provided does not correspond with KPIs provided (being dealt with) a) 3 b) 2 c) 58					
15		Health	Access to Healthcare 1. General Hospital (Secondary Health Care) a) No of general admissions to hospital (YTD) b) Number of surgical admissions(YTD) 2. Total number of different patients per month that accessed Primary Health Care to see a) Doctor b) Nurse c) Overall 3. Total number of different patients per month that accessed Primary Health Care at d) Half Tree Hollow e) Longwood f) Levelwood D=Doctor 4. Total number of occasions per month that patients with a registered disability were seen by a Doctor 5. Total number of home support visits for palliative / end-of-life care	1(a) = 2000 1(b) = 30 2 (a) (b) (c) 3 (d) (e) (f) 4. Awaiting info from Safeguarding to determine stats. 5. 20 (Nov 2016)	Target to maintain services at baseline level of 4 consultations/person/year	Target to maintain services at baseline level of 4 consultations/person/year		1 a) 162 b) 222 2 a) 2553 b) 2587 c) 3284 3 d) D = 336 e) D = 142 f) D = 43 4. Figures soon to be collated. 5. 47		↑	↔		
16		Health	Encourage Smoking Cessation a) % of all patients who have had their smoking status screened b) % of screened smokers seen in clinics receiving brief intervention c) % of screened smokers who set firm quit date d) % of screened smokers seen in clinics receiving specialist advice e) % of treated smokers who remained quitters at 13 weeks verified by CO monitoring f) % of treated smokers who remained quitters at 4 weeks monitored by CO g) % of treated smokers that are self-reported 4-week quitters h) Number of treated smokers [a treated smoker is a smoker who undergoes at least one treatment session on or prior to the quit date and sets a firm quit date. Smokers who attend an assessment session but fail to attend thereafter would not be counted. Neither are smokers who have already stopped smoking at the time they first come to the attention of the services] i) 4-week quit success rate [Number of 4-week quitters verified by CO/Number of treated smokers] j) 13-week quit success rate [Number of 13-week quitters verified by CO/Number of Treated Smokers]	a) 37% b) 1% c) unknown d) 5% e) unknown f) unknown g) unknown h) unknown i) unknown j) unknown	a) 50% b) 20% c) 30% d) 30% e) 40% f) 40% g) 40% h) *** i) ≥ 40% j) ≥ 40%	TBD		a) 625 b) 86 c) 41 d) 0% e) 74% f) 2% g) awaiting data h) awaiting data i) awaiting data j) awaiting data		↓	↓		

No.	National Goal	Directorate Responsible	Performance Indicator/ Risk Mitigation	Benchmark	Target 2018/19	Target 2019/20	Target 2020/21	Performance Update	Overall rag status progress	Direction of Travel (Monthly Progress)	Leading Indicator 2018/19	Notes	Overall Brief Monthly Commentary on Progress/events
17		ENRD	Social Housing - Increase the social housing stock.	184 social houses (Jan 2017)	Require target					↔	↔		Pilot House for GLH has been authorised by ENRC for tender at HTH. It is anticipated building work will commence January 2019 and completed April 2019. Planning permission being sought for 4 plots in New Ground.
18		ENRD	Plants and Wildlife . Monitor the health of St Helena's marine and terrestrial habitats, to make sure they do not decline ie that we don't lose any of the endemic life there and we maintain the environment in which these endemic animals and plants live.	Benchmarking done for 21 terrestrial and 22 marine in 2015/16)	5% of high value native habitat managed 95% not under management monitored to assess rate of decline					↔	↑		Nursery work at Scotland and Peaks continues ; Nurseries for Nature project fern propagation unit setup. Transplanting of endemic in living gene banks and wild habitat. Ongoing work with LEMP project, propagation of endemics to supported restoration work. Critical habitat ecological restoration work continued on 5% of target sites of high endemic diversity value with special focus on flax clearance on the central ridge ongoing
19		ENRD	Environmental Protection - St Helena's environment is protected through the implementation of the Environmental Protection Ordinance (EPO) enabling the conservation of biodiversity, regulation of trade in endangered species and the control of pollution, hazardous substances, litter and waste.	10% of the required supporting policies, guidelines and procedures have been formally adopted and or are in place to facilitate the implementation of the EPO (Jan 2017)	Formal adoption of at least 50% of the secondary legislation and supporting policies, guidelines and procedures to facilitate implementation of the EPO by March 2019					↔	↔		General awareness of the EPO continues through provision of advice to potential researchers, project staff, SHG staff and potential developers. The amended plant propagation policy was presented to ENRC though final approval is still awaited, however work on developing the supporting documents to assist with implementation continues. Work on the review of the whale shark interaction policy and guidelines continues with meetings held with Tourism and Dive Tourism Operators. Environmental impacts of planning applications assessed. Protocols for site assessment work that feeds into environmental assessments has been established.
20		ENRD	Waste Management	Equally sized domestic waste cells last for approximately 1 year	5% increase in domestic waste cells life			Whilst no capital investment has been forthcoming in recycling (7 business cases have been submitted for the 2018-21 capital programme), initiatives are being developed to reduce waste. But the effects of these initiatives will not be as great as investment in recycling.		↔	↑		Charging for waste approved by ENRC, due implementation on 1st January 2019. No other significant change to date; glass recycling continues as per agreement with Private Sector partner. OCTA Anaerobic Digestion Project proceeding. DEFRA Marine Debris (Plastics Recycling) Project proceeding.
21		ENRD/Connect	Energy Use	More efficient use of energy per head of population						↔	↑		The Power Purchase Agreement for increasing renewable generation continues to be progressed.
22		ENRD	Increase Land available for Housing through the development of the CDA's and individual site identification.		Release 60 plots by the end of 2018.					↔	↓		Designs for Bottomwoods CDA underway. Working with Connect and other stakeholders to overcome sewerage issue which is affecting all residential development on every CDA. Therefore it is unlikely any plot sales will be achieved this year.
23		Education	Primary Education % of Year 6 pupils assessed as performing at or above Age Related Expectations NOTE: New measure which reflects changes in assessment policy in the English National Curriculum	English 50% Maths 30% (July 2017)	English 60% Maths 60%	English 65% Maths 65%	English 65% Maths 65%	August 2018 RESULTS English 56.1% Mathematics 41.5%		↔	↔	Reported on academic year	Full reports on school performance in 2017/18 were presented to the Education Committee in September. Year 6 results were significantly improved from 2017 results, particularly in mathematics, though still well below the target in maths. Results for English came very close to meeting the target of 60%. Schools worked to analyse the data and incorporate their findings into the new 2018/19 School Improvement Plans.
24		Education	Inclusion % of students on Special Education Needs (SEN) Register with active Individual Education Plan (IEP) (IEPs are the learning plans that spell out what steps the school will take to meet the needs of children with special education needs or disabilities. They document both the child's needs and the actions to address them and the targets that the child is expected to meet) NOTE: Final assessment to be made at end of academic year	new measure	100%	100%	100%	100%		↑	↑		With the SEND policy approved, work has commenced on a Code of Practice to guide full implementation of the policy. The Inclusion team is working with schools to plan support for children with complex needs entering the system. The Education Committee approved an Equality and Diversity Policy for Education.
25		Education	Secondary Education % of pupils achieving 5 GCSE A*-C including English and Maths (or the equivalent grades on the new 9-1 GCSEs)	22% (Aug 2016)	45%	50%	50%	45%		↑	↑	Reported on academic year	PAS completed final assessments for students, with all A level and GCSE exams concluded and Key Stage 3 assessments in English and Maths completed.
26		Education	% of teachers qualified to Level 4+	33.3% (March 2017)	50% of teachers qualified to Level 4+	70% of teachers qualified to Level 4+; 40% of teachers qualified to Level 5+	75% of teachers qualified to Level 4+; 50% of teachers qualified to Level 5+	50%		↑	↑		Results from the most recent cohort of entries for the PDQ Level 4 Teaching and Learning Certificate were received with all candidates gaining the qualification. The proportion of teachers qualified to Level 4 has now met the 2018/19 target. Three new teacher trainees began their training in September.
27		Education	St Helena Community College provides a range of general, technical/vocational, professional and higher education programmes to meet the needs of the local economy. NOTE: Because the enrolment cycle of the SHCC operates on the academic year, this data should be reported and KPI assessed on the basis of the academic year ending in the financial year. Thereafter, regular updates in the narrative can provide details on progress toward the upcoming year's performance.	a) SHCC Courses offered: 159 courses b) General/Community 27 General/Community(accredited) 39 c) Higher Education 36 d) Professional 10 e) Technical/Vocational 47 f) 273 student/course registrations from 1/9/2016 - 20/2/2016. g) 363 students enrolled in SHCC programmes to date.	Annual Training Needs Assessment completed. 100 courses offered (with information on accreditation status and level) 400 course registrations	Annual Training Needs Assessment completed. 100 courses offered (with information on accreditation status and level) 450 course registrations	Annual Training Needs Assessment completed. 100 courses offered (with information on accreditation status and level) 500 course registrations	2017 Training Needs Assessment used to inform planning. More than 200 courses offered 114 courses with active enrolment during year Community Education: 52 courses, 25 accredited Higher Education: 17 courses; 16 accredited Professional Studies: 16 courses; 15 accredited Technical/Vocational: 26 courses; 24 accredited 532 students registered (Academic Yr ending 8/18) 831 course registrations (Academic Yr ending 8/18) 106 registrations in accredited L1 - L2 core skills courses (English, maths, ICT)		↑	↑	Data reported on academic year	SHCC's second year built on the success of its' first year of operation, with more courses offered and registrations slightly up. Although the total number of students declined slightly, those students registered for more courses in total. The 2018/19 academic year saw the launch of a wide array of new courses, with very positive indicators for a successful third year of operation.
28		Safeguarding	Number of people who we are supporting on our employability scheme	Benchmark Year 1 (2017/18 = 21)	21			23 people are supported on our employability scheme		↔	↑		
29		Corporate Services (Corporate Support - Carol)	% of Report It Sort It reports acknowledged and allocated for action within 3 working days of receipt	95%	100%	100%	100%	100% for September 2018		↑	↑	Monthly	
30		Corporate Services (Corporate Support - Carol)	Number of people making use of the public transport service	18070 tickets sold (2013/14)	Further 10% increase	Further 10% increase	Further 10% increase	6232 tickets were sold for Apr-Jun 2018. 6189 tickets were sold for Jul - Sept 2018		↑	↑	Quarterly	
31		Corporate Services (Corporate Support - Linda B)	Revised timetables for the Public Transport Service to make provision for Park and Ride schemes into Jamestown.	No Park and Ride Scheme exists at the moment.	Commence March 2019			No further progress since last reporting period.		↔	↔		

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32	Altogether Weatherier	Corporate Services (Corporate Support - Linda B)	% of requests for information dealt with in accordance with the Code of Practice for Access to SHG	Baseline to reflect 2016/17 Performance of 40%	90%	95%	95%	100% for September 2018	Green	Up	Up		
33		Corporate Services (Statistics Office)	Number of stay over tourist visitors to the island	2,527 (2012/13) 2,054 (2013/14)	1,959 (2017/18) 10% increase (195.90) Target - 2,154.90	10% increase on 2018/19	10% increase on 2019/20	September 2018 No. of Stay Over Visitors - 102 2018/19 YTD: 829 No. of Plane Passengers - 270 2018/19 YTD: 1,568	Amber	Up	Up		
34		Connect St Helena (Barry)	Sustainability % of energy generation from renewables	9.13% (2012/13)	40%	80%	80%	April = 21.53% May = 26.47% June = 20.13% July = 20.45% Aug = 31.09% Sept = 31.62%	Amber	Up	Up		Renewable contribution will increase after the PPA is signed and additional generation capacity is commissioned. The first phase being expansion of the solar farm which now has development permission approved by ExCo.
35		Connect St Helena (Barry)	Reliability Unplanned electricity interruptions per annum	146 (2012/13) 134 (2013/14)	95	90	85	April = 6 May = 3 June = 10 July = 6 Aug = 20 Sept = 10	Amber	Up	Stable		Electricity outages were influenced by the adverse weather conditions with excessive rainfall and heavy winds causing large trees to fall. Despite a difficult couple of months it is anticipated that the target is still achievable.
36		Air Access (Janet/Sharon)	Regularly scheduled flights to St Helena	Airport open and operational but in 2016/17 catered only to charter and medevac flights	Minimum of a weekly scheduled flight to St Helena	Minimum of a weekly scheduled flight to St Helena plus ability to schedule additional flights as required	Minimum of a weekly scheduled flight to St Helena plus ability to schedule additional flights as required	The total number of flights for Period 6 (September 2018) is summarised below: Type Arrival Departure Scheduled 5 5 Charter Calibration Medevac Total 5 5	Amber	Up	Up		Flight on Saturday 1st September delayed until Tuesday 4th September due to inclement weather. Normal flight operations resumed Saturday 8th September.
37		Air Access (Janet/Sharon)	St Helena Airport maintains airport certification	Original airport certificate granted by ASSI in May 2016 Airport recertified (6 month duration) in November 2016 ASSI audit inspection in March 2017	Successful completion of ASSI audit [date to be advised] and implementation of any rectification actions	Successful completion of ASSI audit [date to be advised] and implementation of any rectification actions		Ongoing	Green	Stable	Up		ASSI's approval for the transportation of Dangerous Goods by Air enabled the carriage of the First Fuel Samples (Dangerous Goods) from Saint Helena to Johannesburg on a Passenger aircraft.
38		Corporate Support (IT- Jerry)	IT Systems maintained % of Downtime for IT Systems not to exceed	85%	Increase by 5%	Increase by 5%	Increase by 5%	Prior to seeking legal opinion in respect of if the consumer does indeed have to pay for any upgrades/replacement electrical equipment that needs to be connected to the main grid. Legally, SHG are not in a position to compel Connect St Helena Ltd, to order and pay for the associated electrical equipment, infrastructure and services. Therefore, a decision has since been taken to purchase the equipment in conjunction with SHG's Procurement Regulations.	Green	Stable	Up		Connect Sth Ltd existing 315kVA substation and the interconnecting cable does not have the capacity to accommodate the additional power requirements for the new SHG IT and CSH UPS systems. The total power demand for both Uninterruptible Power Supplies and those existing consumers, who are currently connected to the grid in the immediate vicinity will exceed 500kVA. This means that the existing transformer 315kVA must be replaced and upgraded with a new 600kVA transformer.
39		Corporate Support (Carol)	Legislative Programme agreed and updated and circulated to elected Members.	The legislative programme is currently delivered on an 'ad hoc' basis	Programme presented to Council, updated and published on quarterly basis	Programme presented to Council, updated and published on quarterly basis	Programme presented to Council, updated and published on quarterly basis	The Legislative Programme was discussed at an informal meeting of Legislative Council held on 31st August. Subsequent amendments were made by the Attorney General and the revised programme was discussed by Elected Members. Further work to be done by the Attorney General before the prioritisation exercise can go ahead and the final list approved by Executive Council.	Amber	Stable	Stable		
40		Corporate Support (Gilly/Kerisha)	Internal communication in SHG is improved and employees feel informed about what is happening	Benchmark - improve on the 40% positive score of the 2015 Employee Opinion Survey. To develop an employee engagement survey to be rolled out in 2018/19 to provide a baseline for this data as we move forward					Amber	Up	Stable		A justification for a new post of Internal Communications Officer has been submitted as part of the 2019-2022 MTEF process. If approved, this post would sit within the Press Office and the postholder report to the Head of News.
41		Corporate Support (Gilly/Kerisha)	External audiences receive and understand messages from SHG	Benchmark - Develop an external survey for 2018/19 to provide a baseline for this data as we move forward.				No further update	Amber	Stable	Stable		
42		Corporate Finance (Nicholas)	Self-sufficiency % of budget from local revenue	33% (2013/14)	33.5%			April = 23% May = 27% June = 30% July = 36% August = 35% Sept = 31%	Amber	Stable	Stable		
43		ESH (Kirsty)	Increase in number of ESH-supported businesses registered with SHG Tax Office	680 March 2018 est.	6	6		*April - 0 June - 0 August - 1 (Service) September - 1 (Service) Total = 4	Green	Up	Up		
44		ESH (Kirsty)	Increase in number of ESH-supported businesses in all sectors receiving ESH Grants (micro/capital)	49/2	30/1	25/1		April - 5 micro/0 capital : 1 x Tourism / Hospitality - Accommodation 1 x Tourism - Eatery 1 x Agriculture - Livestock 2 x Service - Jewellery May - 5 micro/0 capital : 5 x Service sector June - 1 micro/0 capital : 1 x Service sector July - 7 micro/0 capital : 3 x Service sector 1 x Construction August - 1 micro/0 capital : 1 x Service sector September - 2 micro/3 capital: 1 x Service 1 x Construction and 3 Tourism/Hospitality (Capital) Total = 21 micro/3 capital	Green	Up	Up		

Key to arrows

Performance Improving
 Performance Data currently being collected

Performance Maintaining
 Performance Worsening

Rag Rating

Green On target
 Amber Maintaining
 Red Below target



CORPORATE RISK REGISTER - STRATEGIC				PRE-Mitigation (Inherent*) Risk				POST-Mitigation ("Residual") Risk					Target Risk								
ID	Risk Category	Risk Description (Including Impact)	Owner (Individual/group)	Probability	Impact	Financial liability	RAG Status	Mitigation as at June 2018	Current mitigation as at September 2018	Financial Risk (Post Mitigation)	Current Probability	Current Impact	RAG Status	Proposed Mitigation	Actionee	Probability	Impact	RAG Status	Current (Qtr-2)	Current (Qtr-1)	Previous Quarter
1	Fin/Rep/Hum	Insufficient resources to deliver public services and key capital infrastructure	SHG (Dax)	H	VH	Greater than £5m		(i) External Funding Coordinator appointed, familiarisation visit planned for August 2018. Action Plan in place to improve financial management capacity and capability across the public service being implemented. Tax and Revenue Working Group (TRWG) considering options for revenue generation. (ii) No further change. Still awaiting Capital Funding to progress. (iii) Resources have been increased and governance of the programme now in place. Funding beyond September 2017 remains a significant risk. Alternative funding through EDF and private equity to fund capital projects (cable - energy). (iv) Project team in place, designs progressing to sign off. The funding for this programme beyond September is a significant risk. No further update on funding as of Jun 2018. (v) Additional budget provision being identified from other health budget lines. Cost reduction options including bringing specialists to the island and undertaking procedures locally at cheaper cost being explored.	(i) Ext Funding Coordinator in post, familiarisation visits took place in August 18. Action plan continues to be implemented, new Cadre approved in October 2018. TRWG have considered options, recommendations are to be presented to Economic Development committee in early Dec 18. (ii) No further change. Still awaiting Capital Funding to progress. (iii) No Further Change (iv) No Further Change (v) A proposal to move OSMR to Pretoria in South Africa has been implemented - a number of efficiency gains are expected.		H	VH		(i) Funding Officer put in place to secure alternative sources of funding. Improve financial management capacity and capability within Corporate Finance and across SHG. Continuous review of key revenue streams and social and economic impact. (ii) Rock guards in place to monitor, rock fall protection to be procured, funding is required and SHG capability to respond to rock fall incidents. (iii) Project Governance established. Key skill sets being recruited. New delivery arm to be deployed. Funding is required to deliver the infrastructure that is required now and for the future. (iv) Agreement for final designs, funding is required as well as Political and community support. (v) Use waiting list to manage pace of overseas referral where clinical outcome is not jeopardised.	SHG Dax Derek Akeem	M	H				
2	Fin/Rep/Hum	Risk of negative public perception following the completion of the air service procurement	SHG (Janet/Kerisha)	H	H	Between £1m to £5m		No further update			H	M		Clear comms strategy to manage expectations. Ensuring that all stakeholders are on message and that there is transparency from the outset.	Dax	L	H				
3	Fin/Rep	Risk of litigation linked to historical failings	SHG (Dax-Matt)	H	VH	Greater than £5m		Risk is identified and flagged with DFID. Service improvements are in place and managed operationally. HMG have been made aware of the possible contingent liabilities, the SHG budget 2018/19 has a ringfenced budget for litigation and associated legal costs	Risk is identified and flagged with DFID. Service improvements are in place and managed operationally. HMG have been made aware of the possible contingent liabilities, the SHG budget 2018/19 has a ringfenced budget for litigation and associated legal cost. Potential costs being monitored with AG's Chambers	Greater than £5m - at present it is difficult to quantify all know cases	H	H		Improvements made in core services to be retained to avoid historical failings. Expert advice to be sought and funding is required for what will be significant amounts.	Dax	M	H				

4	Fin/Rep/Hum	Failure to reach short term revenue growth prediction through low visitor numbers	SHG DfID FCO (Dax/ESH)	H	L	Between £100,000 to £1m	Announcement of the 2nd flight over the peak season. Developing a competitive inclusive package over the low seasons.	Announcement of the 2nd flight over the peak season. Developing a competitive inclusive package over the low seasons. Working with HMG to provide certainty on additional flights over the longer term.	H	L	Linked to air access procurement and also the investment strategy for the island. RMS also scheduled to 2018 to increase bookings following a lull in 2017.	Dax ESH	L	L	
5	Fin/Rep/Hum	Failure to deliver long term economic growth	SHG (Dax/Gilly ESH)	L	VH	Greater than £5m	"10 Year Plan, SEDP development and delivery. Procurement commenced regarding renewables and cable/groundstations moving forward well with MOU signed with SAEx and EU support through the signing of the Financing agreement for EDF11 for the cable project. Click box to link to Economic Targets"	No further change	L	VH	Linked to developing a tourist industry and air access. Securing a sub marine cable and groundstations as well as a move to 100% renewable energy.	SHG	L	H	
6	Fin/Rep/Hum	Failure of Change Management Programme - impact on SHG and Public	Susan	H	VH	Greater than £5m	Continuous Improvement programme adopted, change managers in place and meeting regularly. New Director of HR and OD being recruited.	A Director of HR and Organisational Development has been appointed and will be in role from the beginning of November. This role will oversee the OD manager role which is responsible for ensuring that the Prospectus for Change is delivered. The PFC is currently being updated to incorporate recommendations from the recent Employee Opinion Survey.	M	H	Pay and grading review. Employee reps engaged, specialist appointed to lead the agenda	Susan	M	M	
7	Hum/Rep	Skills Shortage within existing workforce	Susan Directors	VH	VH	Greater than £5m	SHG training plans, careers guidance and succession planning, and Future Leaders Programme all in place. Community college in place with a wide range of learning opportunities on offer.	No further change	H	VH	SHG training plans, careers guidance and succession planning in place. Community college and improved conditons.	Susan Directors	H	M	
8	Fin/Rep/Hum	A National Major Incident, airport, disease, localised emergencies/situations	David L Susan Gilly	L	VH	Greater than £5m	A major incident exercise is planned to take place with the Airport in October 2018.	No further change	L	VH	Resilience forum in place staffed by key professionals. Disaster management planning and testing in place.	Police (David L)	L	VH	
9	Fin/Rep/Hum	Not securing a high speed internet connectivity	Susan Gilly Dax	M	VH	Greater than £5m	Financing agreement for €21.5 million has been signed off by the European Union for St Helena, €17.0 million to support the delivery of the SHG Digital Strategy through the realisation of the submarine cable to enable faster and more reliable internet connectivity on the Island. Milestones are on progress to be met. Financial close of the SAEx project is expected soon, thereafter survey work is to commence.	No further change	M	VH	SHG has signed a letter of interest and is in advance discussions with potential providers including groundstations. Clear socio-economic need for the cable and funding from EDF will be required to deliver.	Susan Gilly Dax	L	H	

10	Fin/Hum	Morbidity and mortality due to obesity and lifestyle	Akeem Ali	H	H	Between £1m to £5m	Chronic Disease Management Service initiated. Health Promotion and Social Marketing Programme commenced tackling obesity and high smoking prevalence. Specialist medical services on the island and overseas referral strengthened.	Further development of the Chronic Disease Management Service ongoing. New nurse and medical practitioners recruited to lead the service and expected by Christmas 2018. Health Promotion and Social Marketing Programme making progress regarding primary prevention of obesity and smoking. Specialist medical services on the island and overseas referral strengthened.	H	M	Intensive sustained focus on health promotion and appointing specialist staff and involving all agencies. Focus on increased agriculture locally.	Akeem Ali	M	M				
11	Fin/Rep/Hum	Lack of health and safety on the island leading to severe injury, death and service disruption	Susan	H	VH	Greater than £5m	No further change		H	H	Review current position and develop systems. Legislation for St Helena covering all aspects of H&S. Will sit centrally and move from health.	Gilly	M	H				
12	Fin/Rep/Hum	Lack of services for ageing population	Akeem Ali Stephanie	VH	H	Between £1m to £5m	No further change due to the rollover budget position	Additional staff for the CCC is being progressed with Procurement. The Home Care Policy is currently under review and Capital bids for the extension to Cape Villa and the construction of a dementia unit have been submitted	H	H	Specialist staffing in place, homecare policy in place, funding for additional staff, adaptations and capital bids to extend Cape Villa and develop a dementia unit.	Stephanie J	L	H				
13	Fin/Rep	Failure to protect and maintain key infrastructure such as IT	Susan Gilly	H	VH	Greater than £5m	1)The IT Section Manager is now included within the regular Corporate Risk Management process. This means that the officer is now able to report quarterly, in respect of key IT Risks being faced by the St Helena Government. 2)The draft IT Register was developed within the stipulated time frame (September 2017). 3) The detailed Action plan was completed within the revised time frame (18th January 2018).		L	H	Invest in ongoing maintenance and improvements as part of a long term replacement and upgrading plan. 1)The IT Section Manager inclusion within the regular Corporate Risk Management process, now means now that the officer will be able to report quarterly, in respect of key IT Risks being faced by the St Helena Government. 2)A draft IT Register developed within the stipulated time frame (September 2017). 3)A detailed Action plan will be completed before the 18th January 2018	Gilly	L	H				

14	Fin/Rep/Hum	Failure to underpin basic education	Shirley	H	VH	Greater than £5m	Assessments to be completed by end of July 2018. Results for the 2017/18 school year will be available by the end of August 2018. School improvement plans have been implemented over the 2017/18 and new plans will be developed for 2018/19. Teacher training programme continues with new cohort to begin in September 2018.	Update to follow		M	VH	Investment in teaching staff, materials, connectivity and opportunities to access qualifications and exposure.	Shirley	L	H				
15	Fin/Rep/Hum	Terrorism	David L	L	VH	Greater than £5m	Two firearms courses have been completed. Staff trained in several tactics. This will be developed further in 2018	Firearms training delivered. On-going development of the firearms capability. Further training to be completed in December.		L	VH	Enhancements to the quality of intelligence within the OTRCIS system. Air access may increase the risk Resilience forum in place to respond to major emergencies. Immigration access to intelligence improving Electronic Visa system coming on line in the next three months - Update, Electronic system now in place however technical issues are being experienced. Firearms capability to be developed by the end of 2017. - Firearms course now booked - due to start training end of October.	David Lynch	L	VH				
16	Fin/Rep/Hum	Failure to provide adequate water security	Susan	H	VH	Greater than £5m	No further change	No further change		H	H	Water strategy to be developed and implemented. Investment in key infrastructure, reduce waste and consumption.	Susan	L	H				
17	Fin/Rep	Following commencement of air services in October Risk of delays/cancellations for newly established air service	SHG (Janet)	VH	M	Greater than £5m	No further change	No further change		VH	H	SHG to put in place plan for diversions. Airlink to prepare Comm Strategy with SHG. As confidence grows in the air service, the impact (particularly from negative media) will reduce.	Airport board - link to access office	VH	M/L				
18	Fin/Rep/Hum	Lack of Human Capital and appropriate skills to develop the economy and deliver key services	CLG/EMs	VH	VH	Over £5m	Continue to attract working age people on to the Island	Successful attraction of working age people on to the Island. Labour Market Strategy to be updated and implemented.		H	H	Successful attraction of working age people on to the Island	Susan	M	M				

19	Number of key areas that are reliant on one person or a particular system for business continuity	Susan O'Bey	H	H	Between £1m to £5m	No further update	No further change		M	M	Increased skills and capacity building through organisational development	Susan	L	M				
20	Lack of financial management capacity and capability across SHG	SHG (Dax)	H	VH	Between £1m to £5m	Corporate Finance Restructure underway to increase the financial management resources across SHG. TC officers to be used to fill short term capacity gaps - 2 new management accountants starting on 1 September 2018 New Cadre being developed for finance professionals	New restructure in progress, 2 management accountants in post, recruitment started on additional resources 2 Accounting Technicians 1 Business support manager. New Cadre for finance professionals signed off in October 2018.		M	M	Centralise the finance function, introduce a new cadre for finance professionals link to the achievement of competencies. Implement a Corporate Finance restructure.	Dax	L	M				
21	The financial position of SHG and the level of funding available within the General Reserve.	SHG (Dax)	M	VH	Greater than £5m	A new Management Accountant is in post and two additional accountants have been recruited to increase capacity in performance management and will start on 1 September 2018. The minimum level on the general reserve to be increased to £3million, currently projected to be £2.4m at 31 March 2018.	A new Management Accounting function in place. The minimum level on the general reserve to be increased to £3million, currently projected to be £2.9m at 31 March 2018.	Currently at £2.4m	M	H	Improved management accounting function to increase scrutiny of revenue and expenditure. More political oversight of financial performance across the organisation	Dax	L	M				
22	The deteriorating financial position of Basil Read Ltd and the impacts on the completion of phase 1 of the airport DBO contract	Janet/Alan	L/M	H	Greater than £5m	Notice received on 15 June 2018 that Basil Read had entered Business Rescue. SHG, DFID and PMU are currently working closely with Basil Read and the Business Rescue Practitioners to ensure that immediate concerns regarding Phase 1 (Construction Phase) of the Airport project are addressed.	On the 2nd October 2018 SHG terminated the DBOH contract with Basil Read. The contingency plan then kicked in with SHG now working with subcontractors to complete the phase 1 works.		H	H	SHG, DFID and PMU are currently working closely with Basil Read and the Business Rescue Practitioners to ensure that immediate concerns regarding Phase 1 (Construction Phase) of the Airport project are addressed.	Janet	H	H				
23	The deteriorating financial position of Basil Read Ltd and the impacts on the ability to keep the airport operational	Janet/Alan	L/M	H	Greater than £5m	Notice received on 15 June 2018 that Basil Read had entered Business Rescue. Basil Read Business Rescue Contingency Planning Group currently putting in place contingencies to ensure that there is no disruption to airport operations in the case that Basil Read goes into liquidation.	On the 2nd October 2018 SHG terminated the DBOH contract with Basil Read. The contingency plan then kicked in with SHG then setting up St Helena Airport Limited who have now assumed the role of the airport operator. The Aerodrome Certificate from ASSI has been transferred to SHAL.		H	H	Basil Read Business Rescue Contingency Planning Group currently putting in place contingencies to ensure that there is no disruption to airport operations in the case that Basil Read goes into liquidation.	Janet	H	H				

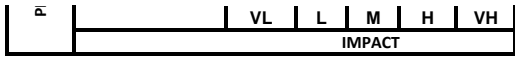
ROBABILITY	VH						
	H						
	M						
	L						
	VL						

RISK CATEGORY

Fin - Financial

Rep - Reputational

Hum - Human



Level of Impact	Impact Definition
Very High (VH)	* Threatens existence of organisation; and/or * Financial impact is likely to be greater than £5 million loss
High (H)	* Threatens achieving major part of SHG objectives; and/or * Financial impact is likely to be between £1 million to £5 million loss
Medium (M)	*Threatens achieving major part of SHG objectives; and/or *Financial impact is likely to be between £100,000 to £1 million loss
Low (L)	*Minor operational inconvenience; and/or *Financial impact is likely to be between £100,000 to £1 million loss
Very Low (VL)	*Minor operational inconvenience; and/or *Financial impact is likely to be £100,000 loss or less

Level of Probability	Probability Definition
Very High/Probable (VH)	More than 80% chance that the risk will materialise
High/Probable (H)	A 50% to 79% chance that the risk will materialise
Medium/Possible (M)	A 20% to 49% chance that the risk will materialise
Low/Remote (L)	A 5% to 19% chance that the risk will materialise
Very Low/Remote (VL)	Less than 4% chance that the risk will materialise

1.0 Summary of Financial Performance of SHG For the quarter ended 30 September 2018

Basis of preparation

The management accounts have been prepared to include the Budget Report which is adjusted for non appropriated transactions and reconciled to the General Reserve (See 5.0). Also included is a Statement of Financial Position and summary Reserve balances. The Opening Reserve balances have been extracted from the draft unaudited Financial Statements for the year ended 31 March 2018. Significant Annual Adjustments not included within the Management Accounts are as follows:

Recharges - Fund surpluses and deficits have not been adjusted to reflect netting off of internally generated recharges and unrealised profits.

Pensions - Annual Actuarial valuation.

Total Funds

The movement on the Consolidated Fund for the period to 30 September 2018 is a deficit of (£2,743k), made up as follows:

General Reserve £289k. **(5.0) / (7.0)**
Capital Reserve £3,028k. **(7.0)**
Revaluation Reserve (£3,405k). **(7.0)**
Investment in Subsidiary Reserve £392k. **(7.0)**
Aid Funded Infrastructure Reserve (£3,035k). **(7.0)**
Donated Asset Reserve (£12k). **(7.0)**

The movement on Special Funds for the period to 30 September 2018 is a deficit of (£2,384k), made up as follows:

Operating/ Trading deficit for the year of (£1,383k). **(8.0)**
Operating surplus on Other Special Funds for the year of £136k. **(8.0)**
Project Funds Received £868k. **(8.0)**
Project Funds Applied (£2,218k). **(8.0)**
Funds applied to Capital Funding (£453k). **(8.0)**
Transfers between Reserves £666k. **(8.0)**

The Consolidated Fund General Reserve

The Consolidated Fund Budget Report reflects a deficit of (£0.2m) for the period in comparison to a budget deficit of (£0.1m).

Budgeted revenue for the year to date was £18.5m, compared to actual revenue for the year to date of £18.0m.

Budgeted expenditure for the year to date was £18.7m. Actual expenditure for the same period was £18.3m. This represents an under spend of £0.4m, which is a favourable variance of 2.2% against the expenditure budget for the period.

Key Revenue and Expenditure Variances

The Analysis of Revenue Report **(3.0)** provides an analysis of actual and budgeted revenues by revenue stream. Brief comments on significant variances are provided below.

There is an overall adverse revenue variance. Taxation revenues namely Customs Duties for Alcohol and Tobacco, and Corporation Tax were less than anticipated.

The Consolidated Fund Budget Report **(2.0)** provides an analysis of actual and budgeted expenditure by the appropriated Head of Expenditure. Under/overspends of £50k are reported for Payments on behalf of the Crown, Education, Health and Environment & Natural Resources. Brief comments on these under spends /(over spends) are provided below.

Recurrent Expenditure

Payments on behalf of the Crown - £113k under spend mainly due to the actual contribution towards ASSI costs being lower than budgeted.

Education Directorate - £53k under spend is recorded under Employee Costs and Supplies and Services.

Health - Overseas Medical Treatment and Medical Evacuation £398k under spend - The number of aero medical evacuations were less than budget.

Health - The overspend of £102k relates to Customs Dues for goods order in the Financial Year 2017-18.

Environment & Natural Resources £85k under spend - The majority of under spend relates to the postponement of contracts for building works and refurbishment works during the period of the rollover budget.

Capital Expenditure

Environmental & Natural Resources £55k over spend. The actual expenditure is mainly in relation to a retaining wall. Supplementary Appropriation will be applied for to transfer funding from the Recurrent budget allocation.

2.0 Budget Report - Consolidated Fund

Directorate/ Expenditure Area	YEAR TO DATE - P6 SEPTEMBER 18				FULL YEAR	
	Actual	Revised Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Budget Remainder of the year	Full year Revised Budget
	£	£	£	%	£	£

Revenue

Corporate Support, Policy & Planning	116,711	104,982	11,729	11.2%	125,018	230,000
Police	159,117	168,597	(9,480)	(5.6%)	193,403	362,000
Corporate Finance	4,445,137	5,016,189	(571,052)	(11.4%)	6,039,811	11,056,000
Payments on behalf of the Crown	12,398,576	12,433,483	(34,907)	(0.3%)	15,073,517	27,507,000
Education	101,393	112,680	(11,287)	(10.0%)	114,320	227,000
Health	452,107	379,150	72,957	19.2%	370,850	750,000
Environment & Natural Resources	324,467	251,382	73,085	29.1%	282,618	534,000
Safeguarding	54,500	48,591	5,909	12.2%	51,409	100,000
Total recurrent income	18,052,008	18,515,054	(463,046)	(2.5%)	22,250,946	40,766,000

Recurrent Expenditure

Corporate Support, Policy & Planning	(670,456)	(669,255)	(1,201)	(0.2%)	(690,745)	(1,360,000)
Human Resources Services	(220,288)	(212,093)	(8,195)	(3.9%)	(325,907)	(538,000)
Technical Cooperation	(4,120,062)	(4,075,846)	(44,216)	(1.1%)	(4,248,154)	(8,324,000)
Police	(722,194)	(677,666)	(44,528)	(6.6%)	(912,334)	(1,590,000)
Corporate Finance	(655,831)	(628,199)	(27,632)	(4.4%)	(783,801)	(1,412,000)
Payments on behalf of the Crown	(2,311,433)	(2,424,092)	112,659	4.6%	(4,343,908)	(6,768,000)
Economic Development	(450,000)	(450,000)	0	0.0%	(450,000)	(900,000)
Pensions	(606,655)	(644,000)	37,345	5.8%	(746,000)	(1,390,000)
Basic Island Pension	(1,110,561)	(1,091,000)	(19,561)	(1.8%)	(1,141,200)	(2,232,200)
Income Related Benefits	(247,926)	(231,400)	(16,526)	(7.1%)	(231,400)	(462,800)
Shipping	(231,110)	(250,002)	18,892	7.6%	(249,998)	(500,000)
Education	(1,530,227)	(1,582,808)	52,581	3.3%	(1,760,192)	(3,343,000)
Health	(1,758,593)	(1,656,650)	(101,943)	(6.2%)	(1,857,350)	(3,514,000)
Overseas Medical Treatment	(466,569)	(730,550)	263,981	36.1%	(969,450)	(1,700,000)
Medical Evacuation	(65,880)	(200,000)	134,120	67.1%	(300,000)	(500,000)
Environment & Natural Resources	(1,461,458)	(1,546,244)	84,786	5.5%	(1,845,756)	(3,392,000)
Safeguarding	(1,219,451)	(1,199,737)	(19,714)	(1.6%)	(1,650,263)	(2,850,000)
Total Recurrent Expenditure	(17,848,694)	(18,269,542)	420,848	2.3%	(22,506,458)	(40,776,000)

Capital Expenditure

Corporate Finance	(350,000)	(350,000)	0	0.0%	0	(350,000)
Education	(1,574)	0	(1,574)	(100.0%)	0	-
Health	(2,635)	0	(2,635)	(100.0%)	(80,000)	(80,000)
Environment & Natural Resources	(55,115)	0	(55,115)	(100.0%)	0	0
Safeguarding	0	0	0		0	0
Total Capital Expenditure	(409,324)	(350,000)	(59,324)	(100.0%)	(80,000)	(430,000)

Surplus / (Deficit) for the period on Consolidated Fund

Total Surplus / (Deficit) for the period	(206,010)	(104,488)	(101,522)		(335,512)	(440,000)
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3.0 Analysis of Revenue Report - Consolidated Fund

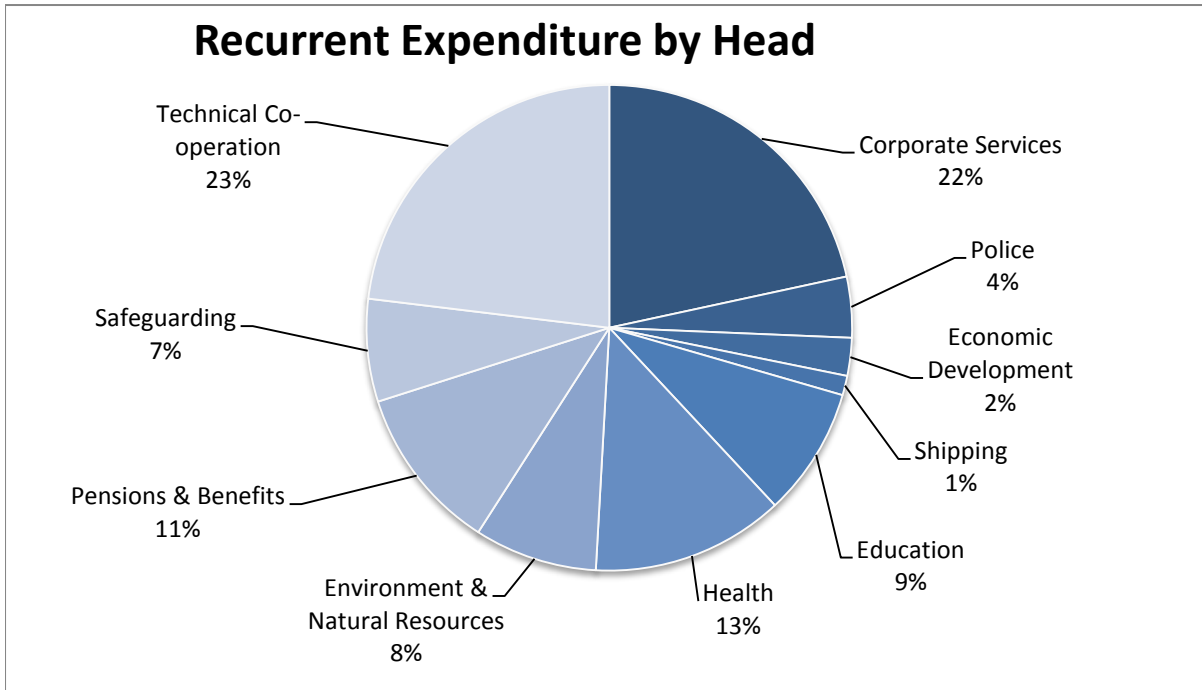
	YEAR TO DATE - P6 SEPTEMBER 18				FULL YEAR	
Actual	Revised Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Budget Remainder of the year	Full year Revised Budget	
£	£	£	%	£	£	
Taxes - PAYE	1,623,901	1,602,500	21,401	1.3%	1,849,747	3,452,247
Taxes - Self Employed	47,168	88,000	(40,832)	(46.4%)	263,258	351,258
Corporation Tax	4,804	169,000	(164,196)	(97.2%)	508,032	677,032
Goods & Services Tax	210,059	226,000	(15,941)	(7.1%)	263,531	489,531
Taxes - Withholding Tax	7,348	0	7,348	100.0%	40,932	40,932
Customs - Other	987,610	1,200,000	(212,390)	(17.7%)	1,174,393	2,374,393
Customs - Alcohol	450,609	675,000	(224,391)	(33.2%)	786,193	1,461,193
Customs - Tobacco	340,541	500,000	(159,459)	(31.9%)	542,675	1,042,675
Customs - Petrol	198,271	141,500	56,771	40.1%	141,391	282,891
Customs - Diesel	128,785	90,000	38,785	43.1%	108,904	198,904
Customs - Liquor Duty	10,118	5,700	4,418	77.5%	7,154	12,854
Customs - Excise Duty	153,563	271,294	(117,731)	(43.4%)	271,296	542,590
Fees of Office	0	750	(750)	(100.0%)	2,250	3,000
Airport & Passenger Tax	10,353	0	10,353	100.0%	0	0
Taxes	4,173,130	4,969,744	(796,614)		5,959,756	10,929,500
Stamp Duty	41,623	21,060	20,563	97.6%	20,865	41,925
Dog License	2,274	3,318	(1,044)	(31.5%)	3,470	6,788
Firearm License	2,771	4,500	(1,729)	(38.4%)	4,500	9,000
Liquor License	1,754	1,350	404	29.9%	8,650	10,000
Road Traffic License	88,431	101,748	(13,317)	(13.1%)	120,252	222,000
Gaming Machines License	0	0	0	0.0%	3,000	3,000
Other Licenses & Duty	173	570	(397)	(69.6%)	2,430	3,000
Duty & Licenses Received	137,026	132,546	4,480		163,167	295,713
Court Fees & Fines	3,630	5,800	(2,170)	(37.4%)	12,200	18,000
Light Dues	3,100	5,000	(1,900)	(38.0%)	5,000	10,000
Crantage	2,067	500	1,567	313.4%	1,500	2,000
Dental Fees	15,241	7,190	8,051	112.0%	7,510	14,700
Fees of Office	9,593	6,666	2,927	43.9%	0	6,666
Medical & Hospital	120,237	98,300	21,937	22.3%	27,700	126,000
Trade Marks	7,098	4,400	2,698	61.3%	5,600	10,000
Post Office Charges	894	1,579	(685)	(43.4%)	6,421	8,000
Meat Inspection Fees	2,950	5,748	(2,798)	(48.7%)	252	6,000
Vet Services	14,717	12,000	2,717	22.6%	13,000	25,000
Marriage, Births & Deaths Fees	2,264	2,079	185	8.9%	1,921	4,000
Land Registration Fees	4,590	5,844	(1,254)	(21.5%)	6,396	12,240
Spraying Fees	1,602	0	1,602	100.0%	0	0
Immigration Fees	35,832	29,106	6,726	23.1%	29,106	58,212
Nationalisation Fees	1,080	0	1,080	100.0%	0	0
Fish & Food Testing	19,645	6,214	13,431	216.1%	5,786	12,000
Planning Fees	7,161	10,730	(3,569)	(33.3%)	11,270	22,000
GIS Fees	4,309	3,870	439	11.3%	10,130	14,000
Company Registration Fees	1,332	1,130	202	17.9%	1,670	2,800
Other Fees	832	13,760	(12,928)	(94.0%)	18,791	32,551
Fines & Fees Received	258,174	219,916	38,258		164,253	384,169
Agricultural Gardens	135	8,000	(7,865)	(98.3%)	0	8,000



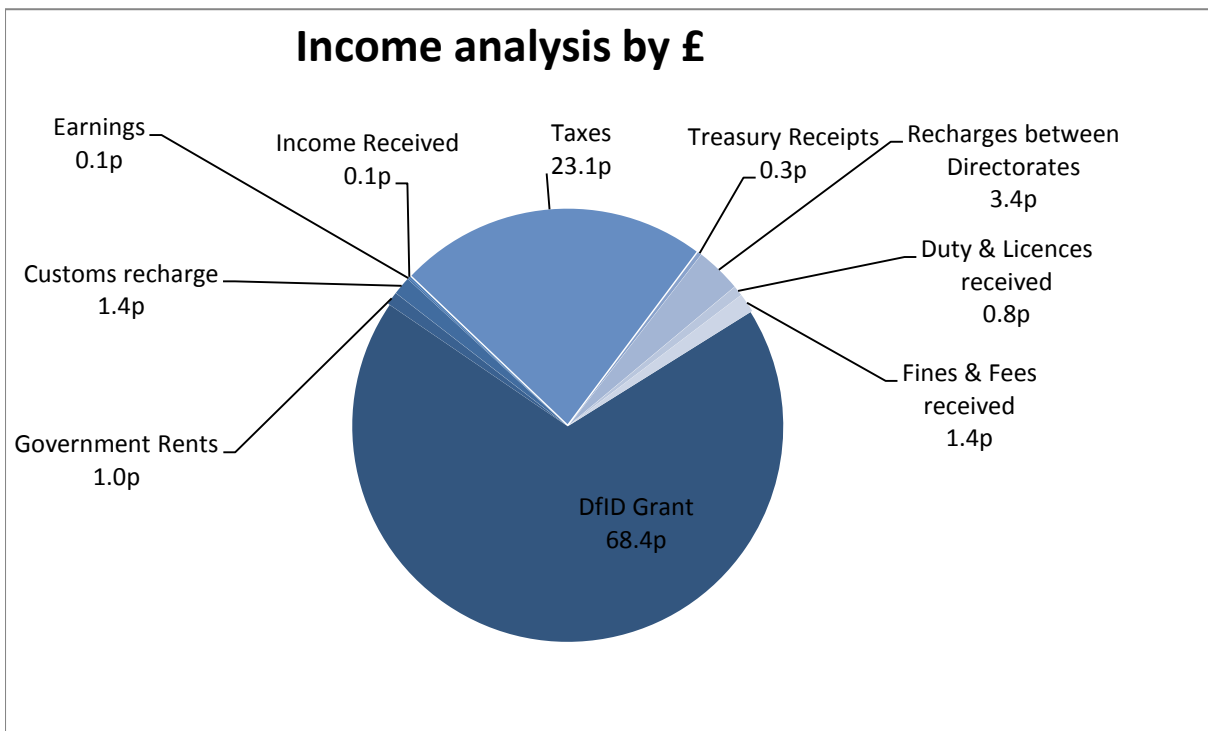
3.0 Analysis of Revenue Report - Consolidated Fund

	YEAR TO DATE - P6 SEPTEMBER 18				FULL YEAR	
Actual	Revised Budget	Variance to budget Favourable / (adverse)	Variance to budget Favourable / (adverse)	Budget Remainder of the year	Full year Revised Budget	
Leased House Plots	17,070	8,232	8,838	107.4%	8,323	16,555
Home to Duty Transport	120	1,002	(882)	(88.0%)	2,998	4,000
Commercial Property Rents	32,291	26,238	6,053	23.1%	26,242	52,480
Miscellaneous Receipts	120,258	86,830	33,428	38.5%	96,028	182,858
Agricultural Buildings	4,690	5,052	(362)	(7.2%)	2,057	7,109
Government Rents	174,564	135,354	39,210		135,648	271,002
Stamp Sales(Postal)	13,029	14,991	(1,962)	(13.1%)	17,709	32,700
Stamp Sales(Philatelic)	2,635	3,405	(770)	(22.6%)	23,095	26,500
Sale of Firewood	6,867	5,147	1,720	33.4%	5,544	10,691
Sale of Timber Logs	0	1,165	(1,165)	(100.0%)	1,035	2,200
Other Earnings Received	292	0	292	100.0%	1,000	1,000
Earnings Government	22,823	24,708	(1,885)		48,383	73,091
Other Income Received	21,593	10,947	10,646	97.3%	14,384	25,331
Bank Charges	6	0	6	100.0%	0	0
Plantation House Tours	2,415	950	1,465	154.2%	2,050	3,000
Income Received	24,014	11,897	12,117		16,434	28,331
Commission	1,270	1,278	(8)	100.0%	522	1,800
Interest	17,188	26,000	(8,812)	(33.9%)	24,000	50,000
Currency Fund Surplus	0	0	0	0.0%	50,000	50,000
Dividends	0	0	0	0.0%	30,000	30,000
Argos	25,420	0	25,420	0.0%	25,670	25,670
Other Financial Aid	7,539	33,778	(26,239)	100.0%	202,668	236,446
Grant-in-Aid	12,350,000	12,350,000	0	0.0%	14,745,002	27,095,002
Treasury Receipts	12,401,417	12,411,056	(9,639)		15,077,862	27,488,918
Recharges - Customs	244,383	0	244,383	100.0%	0	0
Recharges - Other	616,477	609,833	6,644	1.1%	685,443	1,295,276
Recharges - GIS	0	0	0		0	0
Recharges Received	860,860	609,833	251,027		685,443	1,295,276
TOTAL REVENUE	18,052,008	18,515,054	(463,046)		22,250,946	40,766,000

4.0 Consolidated Fund Budget Report visual aids



Analysis of total expenditure (recurrent & capital) by head for the quarter to June 18.



Analysis of income to show where every £ of income is derived for the quarter to June 18.



5.0 Summary of Movements on the Consolidated Fund

	Quarter ending September 18 £	Year ending 31 March 18 (UNAUDITED) £
Balance on the General Reserve as at 1 April 2018	2,945,138	(36,556)
Recurrent surplus/ (deficit)	809,969	2,460,227
Capital Expenditure	(409,324)	(570,349)
Pensions	(606,655)	(1,292,739)
Total surplus / (deficit) (From Budget Report Consolidated Fund)	Note 1 (206,010)	597,139
Transfers from Currency Fund	0	500,000
Transfers between Reserves		
Contribution from the European Development Fund	0	1,000,000
Transfer from Investment in Subsidiary Reserve	350,000	0
Litigation claims (Shown under Litigation Reserve)	224,046	0
Contribution from the Bulk Fuel Trading Account	0	770,000
	574,046	1,770,000
Accounting Adjustments - excluded from Budget Report Consolidated Fund		
Movement on Provision for Staff Leave Liability	(86,088)	60,114
Movement on Provision for Bad and Doubtful Debts	0	(29,365)
Prior Year Accounting Adjustments	8,646	301,553
Corporation tax accrued income (not accrued 16/17)		
Manual Adjustment stat accounts (Not included in budget execution)	0	(210,591)
Elimination of recharges	0	(1,598)
Capital Gain/ (Loss) on Investments	(2,672)	(2,650)
Gain/ (Loss) on Balances held in Foreign Currencies	0	(2,908)
Movement on provision for litigation claims		
	Note 2 (80,114)	114,555
Total movement on General Reserve for the period to September 18	287,922	2,981,694
Balance on the General Reserve as at 30 September 2018	3,233,060	2,945,138

Note 1 - Surplus / (Deficit) in relation to fund movements with an associated budget line

Note 2 - Surplus / (Deficit) in relation to fund movements without an associated budget line



6.0 Statement of Financial Position

	30 September 2018	31 March 2018
	£'000	UNAUDITED £'000
ASSETS		
Current Assets		
Cash & Cash Equivalents	7,923	5,507
Investments	2,801	1,501
Recoverable from Non-exchange Transactions	1,781	3,000
Receivables	1,814	1,424
Inventories	1,367	1,321
Prepayments	12,240	12,415
Assets Held for Sale	0	932
	<u>27,926</u>	<u>26,100</u>
Non-current Assets		
Non-Current Investments	29,047	28,697
Receivables	64	64
Property, Plant & Equipment	281,889	285,301
Intangible Assets	96	106
	<u>311,096</u>	<u>314,168</u>
Total Assets	<u>339,022</u>	<u>340,268</u>
LIABILITIES		
Current Liabilities		
Payables	10,281	6,175
Provisions	925	1,149
	<u>11,206</u>	<u>7,324</u>
Non-current Liabilities		
Pension Liabilities	71,612	71,612
Provisions	3,759	3,759
	<u>75,371</u>	<u>75,371</u>
Total Liabilities	<u>86,577</u>	<u>82,695</u>
NET ASSETS	<u>252,445</u>	<u>257,573</u>
FUNDS AND RESERVES		
Consolidated Fund	7.0 249,410	252,154
Special Funds	8.0 3,035	5,419
	<u>252,445</u>	<u>257,573</u>

7.0 Fund Balances - The Consolidated Fund

	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	
	Balance at 1 April 2018 (UNAUDITED)	Surplus / (Deficit) for the period	Claims settled	Provision released	Use of General Reserve to finance payment of pension benefits	Investments funded from the General Reserve	Release of revaluation gain on sale of RMS Saint Helena	Depreciation	Use of Special Funds to finance Capital Expenditure	Share of Profit/(Loss) of Subsidiaries	Use of General Reserve to finance Capital Expenditure	Transfers between reserves	Gain/(Loss) on Financial Assets	Balance at 30 September 2018
General Reserve	2,945	1,623			(607)	(350)					(59)	(316)	(3)	3,233
Capital Reserve	45,826						3,405	(872)	453		59		(17)	48,854
Revaluation Reserve	3,698						(3,405)							293
Pension Reserve	(71,612)													(71,612)
Investment in Subsidiary Reserve	28,697					350				392		(350)		29,089
Aid funded Infrastructure Reserve	247,215							(3,035)						244,180
Donated Asset Reserve	143							(12)						131
Litigation Reserve	(4,758)		(224)	224										(4,758)
Total	252,154	1,623	(224)	224	(607)	0	0	(3,919)	453	392	0	(666)	(20)	249,410



St Helena
Government

8.0 Fund Balances - Special Funds

	£'000	£'000	£'000	£'000	£'000	£'000	£'000
	Balance at 1 April 2018 UNAUDITED	Surplus / (Deficit) for the period	Funds received	Funds applied	Funds applied to capital finance	Transfers between reserves	Balance at 30 September 2018
IT Trading account	273	18			(64)	58	285
Transport Trading account	869	76			(195)		750
Unallocated Stores Trading account	(119)						(119)
St Helena Audit Service Trading Account	151	(53)					98
Housing Service Trading Account	88	29			(26)		91
Bulk Fuel installation Trading Account	2,502	(1,105)				608	2,005
Airport Trading Account	80	(348)					(268)
DFID Projects	(2,003)		455	(2,154)	(148)		(3,850)
DFID Technical Cooperation	0						0
DFID Infrastructure	2,128		396	(35)			2,489
DFID Funded ST TC	0						0
EDF Projects	424		3	(25)	(7)		395
Locally funded Projects	131						131
UNDP Projects	9		14	(4)	(6)		13
Government Landlord Housing Capital Fund	452	27					479
Improvements and New Construction Revolving Fund	59	4					63
Environmental Management Projects Fund	(15)	72					57
Capital Receipts Fund	370	21			(7)		384
Animal Husbandry Fund	20	12					32
Total	5,419	(1,247)	868	(2,218)	(453)	666	3,035